



The Cauchy problem

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- I. Cauchy problem for Quasi-linear wave equations
 - Wave equation in Minkowski space
 - Example: Wave maps equation
 - Yang-Mills and gauge fixing
 - Spacetimes and causality
 - Wave equation in spacetime
 - Cauchy problem for Quasi-linear wave equations



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II. Cauchy problem for the Einstein equation

- Variational formulation
- Einstein-matter equations
- Constraints
- Harmonic coordinates
- Local well-posedness
- Global uniqueness



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III. Survey

- Cosmic censorship
- Singularity theorems
- Review of small data results
- BKL proposal
- Spacetimes with symmetries
- Kaluza-Klein reduction
- The $U(1)$ problem
- Gowdy
- G_2



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Global Uniqueness

- Minkowski space $\mathbb{R}^{n,1}$, metric
$$\mathbf{m} = -dt^2 + (dx^1)^2 + \dots + (dx^n)^2,$$
- wave operator $\square = -\partial_t^2 + \Delta.$



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- wave operator $\square = -\partial_t^2 + \Delta$.
- The Cauchy problem for the wave equation

$$\square u = F$$

$$u(0, x) = f(x), \quad \partial_t u(0, x) = g(x)$$

has unique solution for “nice” initial data f, g and sources F .



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- Finite speed of propagation:
 - ◆ Data in the ball $|x| \leq R$ has no influence outside the domain of influence $\{(t, x) : |x| \leq |t| + R\}$.
Data in $|x| \leq R$ determines the solution in the domain of dependence $\{(t, x) : |x| \leq R - |t|\}$



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Global Uniqueness

- In $1 + 1$ dimensions

$$\square u = 0$$

has general solution $u = \phi(x + t) + \psi(x - t)$ for functions ϕ, ψ .

- Information propagates along null curves $t + r = \text{const}$,
 $t - r = \text{const}$.



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- Information propagates along null curves $t + r = \text{const}$, $t - r = \text{const}$.
- If $n + 1$ is even, Huygen's principle holds in flat \mathbb{R}^{n+1} : Information propagates along null curves = characteristics.
- If $n + 1$ is odd, or in non-flat spacetimes Huygen's principle fails to hold in general.
- In general, fundamental solution has support in the solid lightcone.



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Global Uniqueness

- Lagrangian $\mathcal{L} = \int_{\mathbf{M}} \nabla_{\mu} u \nabla_{\nu} u \mathbf{m}^{\mu\nu} \sqrt{-\mathbf{m}}$
- Stress energy tensor $S_{\mu\nu} = \nabla_{\mu} u \nabla_{\nu} u - \frac{1}{2} \nabla_{\gamma} u \nabla^{\gamma} u \mathbf{m}_{\mu\nu}$
- $\nabla^{\mu} S_{\mu\nu} = \square u \nabla_{\nu} u$



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- $\nabla^{\mu} S_{\mu\nu} = \square u \nabla_{\nu} u$
- Energy density $S_{tt} = \frac{1}{2} (u_t^2 + |\nabla_x u|^2)$
- Dominant energy condition: $-S_{\nu}^{\mu} V^{\nu}$ is future causal for any future causal V^{μ}
 $\Leftrightarrow S_{tt} \geq |S_{ti}|$



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- The solution to $\square u = F$ with trivial initial data in \mathbb{R}^{3+1} is

$$u(t, x) = \frac{1}{4\pi} \int_0^t \int_{|x-y|=t-s} |x-y|^{-1} F(s, y) ds d\sigma(y)$$



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- Typical decay in 3+1 dimensions is $|u(t, x)| \leq C(t + |x|)^{-1}$, with faster decay away from the null cone.
- Proof uses vector fields method. Idea: Consider the conformal Killing fields: translations, Lorentz rotations, scaling, inverted translations. Apply powers of these to both sides of wave eq. Use energy estimate to get weighted estimates for u and its derivatives.



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- Wave maps equation: $u : (\mathbb{R}^{n,1}, \mathbf{m}_{\mu\nu}) \rightarrow (N, h_{AB})$, where (N, h_{AB}) Riemannian manifold
- Lagrangian $\int \nabla_{\mu} u^A, \nabla_{\nu} u^B h_{AB} \mathbf{m}^{\mu\nu} \sqrt{-\mathbf{m}}$



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- Cauchy problem:

$$\square u^A + \Gamma_{BC}^A(u) \nabla_\mu u^B \nabla_\nu u^C \mathbf{m}^{\mu\nu} = 0,$$

$$u^A(0, x) = u_0^A(x), \partial_t u^A(0, x) = u_1^A(x)$$



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- The semilinear equation

$$\square u = Q(\partial u, \partial u)$$

satisfies the null condition if $Q(\xi, \xi) = 0$ for any null vector ξ

- Wave maps equation satisfies null condition



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- Wave maps equation satisfies null condition
- If null condition holds, have global existence for small data in $R^{3,1}$.



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- Wave maps equation satisfies null condition
- If null condition holds, have global existence for small data in $R^{3,1}$.
- For $n \geq 4$, have global existence for small data for quadratic semi-linear equations.
- Without null condition, expect blowup for small data in $R^{3,1}$. Example: $\square u = |\partial_t u|^2$ does not satisfy the null condition. Have finite time blowup for small data.



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Global Uniqueness

- Consider $n = 2$. Assume $SO(2)$ acts isometrically on N , so that $h = d\rho^2 + f(\rho)^2 d\Omega^2$
- Consider equivariant maps $u = (\phi(r), k\omega)$, where the integer k is the rotation number. Then wave maps equation takes the form

$$-\partial_t^2 \phi + \frac{1}{r} \partial_r \phi + \partial_r^2 \phi = k \frac{f(\phi) f'(\phi)}{r^2}$$



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$$-\partial_t^2 \phi + \frac{1}{r} \partial_r \phi + \partial_r^2 \phi = k \frac{f(\phi) f'(\phi)}{r^2}$$

- For $N = S^2$, $k \geq 1$, there are blowup solutions.



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$$-\partial_t^2 \phi + \frac{1}{r} \partial_r \phi + \partial_r^2 \phi = k \frac{f(\phi) f'(\phi)}{r^2}$$

- For $N = S^2$, $k \geq 1$, there are blowup solutions.
- Can have blowup only if there is a harmonic map from $\mathbb{R}^2 \rightarrow N$. Global existence for $N = \mathcal{H}^2$
- Detailed asymptotics of the blowup is known for $N = S^2$



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■ Principal $SU(2)$ bundle over $\mathbb{R}^{3,1}$.

◆ A connection, $F = dA + [A, A]$ curvature

◆ gauge transformation: $A \rightarrow U^{-1}dU + U^{-1}AU$,
 $F \rightarrow U^{-1}FU$



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- ◆ gauge transformation: $A \rightarrow U^{-1}dU + U^{-1}AU$,
 $F \rightarrow U^{-1}FU$
- ◆ Lagrangian $\mathcal{L} = \int F_{\alpha\beta}F^{\alpha\beta}$
- ◆ \mathcal{L} gauge invariant



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 $F \rightarrow U^{-1}FU$

- ◆ Lagrangian $\mathcal{L} = \int F_{\alpha\beta}F^{\alpha\beta}$

- ◆ \mathcal{L} gauge invariant

- Euler-Lagrange equation

$$\partial^\alpha F_{\alpha\beta} + [A^\alpha, F_{\alpha\beta}] = 0$$



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- $$\begin{aligned}\partial^\alpha F_{\alpha\beta} &= \partial^\alpha (\partial_\alpha A_\beta - \partial_\beta A_\alpha) + \text{lower order terms} \\ &= \square A_\beta + \partial_\beta \partial^\alpha A_\alpha + \text{lower order terms}\end{aligned}$$



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- $\partial^\alpha F_{\alpha\beta} = \partial^\alpha(\partial_\alpha A_\beta - \partial_\beta A_\alpha) +$ lower order terms
 $= \square A_\beta + \partial_\beta \partial^\alpha A_\alpha +$ lower order terms
- term $\partial_\beta \partial^\alpha A_\alpha$ due to gauge symmetry of \mathcal{L} – ruins well posedness
- need gauge fixing to have well posed Cauchy problem



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 $= \square A_\beta + \partial_\beta \partial^\alpha A_\alpha + \text{lower order terms}$
- term $\partial_\beta \partial^\alpha A_\alpha$ due to gauge symmetry of \mathcal{L} – ruins well posedness
- need gauge fixing to have well posed Cauchy problem
- Gauge conditions:
 $\partial^\alpha A_\alpha$ Lorentz gauge
 $A_0 = 0$ temporal gauge
 $\partial^i A_i$ Coloumb gauge



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- (\mathbf{M}, \mathbf{g}) spacetime, signature $- + + \cdots +$, coordinates x^α
- ∇ connection, \mathbf{R} curvature



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- Causality notions:
 - ◆ causal curve



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 - ◆ time orientation, time function



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 - ◆ Cauchy surface, globally hyperbolic, domain of dependence



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 - ◆ Cauchy horizon



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- $i : M \rightarrow \mathbf{M}$ spacelike hypersurface, coordinates x^i
so that $(x^\alpha) = (t, x^i)$



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- $i : M \rightarrow \mathbb{M}$ spacelike hypersurface, coordinates x^i so that $(x^\alpha) = (t, x^i)$
- timelike normal T , induced metric $g = i^* \mathbf{g}$, connection ∇



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- timelike normal T , induced metric $g = i^* \mathbf{g}$, connection ∇
- $\mathbf{g} = -N^2 dt^2 + g_{ij}(dx^i + X^i dt)(dx^j + X^j dt)$
 $N = (-g_{00})^{\frac{1}{2}}, X = g_{0i}$



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- timelike normal T , induced metric $g = i^* \mathbf{g}$, connection ∇
- $\mathbf{g} = -N^2 dt^2 + g_{ij}(dx^i + X^i dt)(dx^j + X^j dt)$
 $N = (-g_{00})^{\frac{1}{2}}, X = g_{0i}$
- second fundamental form $K = -i^*(\nabla T)$,
 $K_{ij} = -\frac{1}{2}(\mathcal{L}_T \mathbf{g})_{ij}$



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- timelike normal T , induced metric $g = i^* \mathbf{g}$, connection ∇
- $\mathbf{g} = -N^2 dt^2 + g_{ij}(dx^i + X^i dt)(dx^j + X^j dt)$
 $N = (-g_{00})^{\frac{1}{2}}$, $X = g_{0i}$
- second fundamental form $K = -i^*(\nabla T)$,
 $K_{ij} = -\frac{1}{2}(\mathcal{L}_T \mathbf{g})_{ij}$
- structure equations

$$\nabla_j k_{im} - \nabla_i k_{jm} = \mathbf{R}_{mTij} \quad \text{Codazzi}$$

$$R_{ij} - k_{ia} k^a_j + k_{ij} \text{tr} k = \mathbf{R}_{iTjT} + \mathbf{R}_{ij} \quad \text{Gauss}$$



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- $i_t : M \rightarrow \mathbb{M}$ foliation



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■ $i_t : M \rightarrow \mathbf{M}$ foliation

■ $T = T^\alpha \partial_\alpha, \partial_t = NT + X$

where N is the lapse function and $X = X^i \partial_i$ is the shift
vectorfield

N, X embedding parameters



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where N is the lapse function and $X = X^i \partial_i$ is the shift
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■ Defining relation for K

$$\mathcal{L}_{\partial_t} g_{ij} = -2NK_{ij} + \mathcal{L}_X g_{ij}$$



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■ Defining relation for K

$$\mathcal{L}_{\partial_t} g_{ij} = -2NK_{ij} + \mathcal{L}_X g_{ij}$$

■ structure equation (from second variation equation and Gauss)

$$\begin{aligned} \mathcal{L}_{\partial_t} K_{ij} = & -\nabla_i \nabla_j N + N(-\mathbf{R}_{ij} + R_{ij} + \text{tr}K K_{ij} - 2K_{im} K^m_j) \\ & + \mathcal{L}_X K_{ij} \end{aligned}$$



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■ Lagrangian $\mathcal{L}[u] = \int_{\mathbf{M}} \nabla_{\mu} u \nabla_{\nu} u g^{\mu\nu} \sqrt{-g}$

where $\sqrt{-g} = \sqrt{-\det(\mathbf{g}_{\mu\nu})}$ is the volume element.



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where $\sqrt{-g} = \sqrt{-\det(\mathbf{g}_{\mu\nu})}$ is the volume element.

- wave operator

$$\square u = \frac{1}{\sqrt{-g}} \partial_{\mu} (\mathbf{g}^{\mu\nu} \sqrt{-g} \partial_{\nu}) u = \mathbf{g}^{\mu\nu} \nabla_{\mu} \nabla_{\nu} u$$



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- stress energy tensor $S = \delta_{\mathbf{g}} \mathcal{L}$:

$$S_{\mu\nu} = \nabla_{\mu} u \nabla_{\nu} u - \frac{1}{2} \nabla_{\gamma} u \nabla^{\gamma} u g_{\mu\nu}$$



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$$S_{\mu\nu} = \nabla_{\mu} u \nabla_{\nu} u - \frac{1}{2} \nabla_{\gamma} u \nabla^{\gamma} u \mathbf{g}_{\mu\nu}$$

- $\square u = 0 \Rightarrow \nabla^{\mu} S_{\mu\nu} = 0$



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- Suppose (M, g) globally hyperbolic, M Cauchy surface, F given function on M



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■ Suppose (M, g) globally hyperbolic, M Cauchy surface, F given function on M

■ The Cauchy problem

$$\square u = F,$$

$$u|_M = u_0, \partial_t u|_M = u_1$$

has unique global solution u for reasonable F, u_0, u_1



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- Suppose (M, g) globally hyperbolic, M Cauchy surface, F given function on M
- The Cauchy problem
$$\square u = F,$$
$$u|_M = u_0, \partial_t u|_M = u_1$$
has unique global solution u for reasonable F, u_0, u_1
- Analogous statements hold for nonlinear equations. Example: Yang-Mills in 3+1 dimensional, globally hyperbolic spacetimes.



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- energy density

$$e(u) = S_{TT} = \frac{1}{2}(T(u)^2 + |\nabla_x u|)$$

- energy $\mathcal{E}[u, t] = \int_M e(u) \sqrt{g}$



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$$e(u) = S_{TT} = \frac{1}{2}(T(u)^2 + |\nabla_x u|)$$

- energy $\mathcal{E}[u, t] = \int_M e(u) \sqrt{g}$
- propagation law

$$\partial_t \mathcal{E}[u, t] = \int_M (T(u) \square u + S_{\mu\nu} \nabla^\mu T^\nu) \sqrt{g}$$



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- energy density

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- propagation law

$$\partial_t \mathcal{E}[u, t] = \int_M (T(u) \square u + S_{\mu\nu} \nabla^\mu T^\nu) \sqrt{g}$$

- basic energy inequality

$$|\partial_t E[u]| \leq C (\|f\|_{L^2} + E[u] \|\partial g\|_{L^\infty})$$



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- Gronwall: assume f, a, b in $L^\infty([0, T])$, a nondecreasing and

$$f(t) \leq a(t) + \int_0^t b(\tau) f(\tau) d\tau$$

Then

$$f(T) \leq a(T) e^{\int_0^T b(\tau) d\tau}$$



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Then

$$f(T) \leq a(T) e^{\int_0^T b(\tau) d\tau}$$

- energy estimate

$$E[u, t_1] \leq C e^{\int_{t_0}^{t_1} \|\partial \mathbf{g}\|_{L^\infty}} \left(E[u, t_0] + \int_{t_0}^{t_1} \|f\|_{L_x^2} dt \right)$$



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Global Uniqueness

- Let u be C^2 solution of

$$\square u = F(u, \partial u, \partial^2 u)$$

$$\text{in } \Lambda_{p,M}^- = I^-(p) \cap J^+(M)$$



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[Global Uniqueness](#)

- Let u be C^2 solution of

$$\square u = F(u, \partial u, \partial^2 u)$$

in $\Lambda_{p,M}^- = I^-(p) \cap J^+(M)$

- Assume $F(0, 0, \partial^2 u) \equiv 0$
Then $F(u, \partial u, \partial^2 u) \leq C(|u| + |\partial u|)$ for small $u \in C^2$.



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Then $F(u, \partial u, \partial^2 u) \leq C(|u| + |\partial u|)$ for small $u \in C^2$.
- Assume $u = 0, \partial_t u = 0$ in $B_p = I^-(p) \cap M$



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- Assume $u = 0, \partial_t u = 0$ in $B_p = I^-(p) \cap M$
Then $u \equiv 0$ in $\Lambda_{p,M}^-$.



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- Assume $u = 0, \partial_t u = 0$ in $B_p = I^-(p) \cap M$
Then $u \equiv 0$ in $\Lambda_{p,M}^-$.
- Proof: Energy estimate + Gronwall.



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- Assume $u = 0, \partial_t u = 0$ in $B_p = I^-(p) \cap M$
Then $u \equiv 0$ in $\Lambda_{p,M}^-$.
- Proof: Energy estimate + Gronwall.
- Uniqueness of regular solutions to the Cauchy problem proved similarly



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Global Uniqueness

Let M be n -dimensional, $s \geq 0$ integer.

■ L^2 -Sobolev norm $\|f\|_{H^s} = \sum_{j \leq s} \|\partial^j f\|_{L^2}$

$H^s =$ closure of C_0^∞ with respect to $\|\cdot\|_{H^s}$



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$H^s =$ closure of C_0^∞ with respect to $\|\cdot\|_{H^s}$

■ Sobolev imbedding:

For $s > n/2$, $H^s \subset L^\infty$

For $0 \leq s < n/2$, $H^s \subset L^p$, $p = 2n/(n - 2s)$



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For $s > n/2$, $H^s \subset L^\infty$

For $0 \leq s < n/2$, $H^s \subset L^p$, $p = 2n/(n - 2s)$

■ product estimate. For $s \geq 0$,

$$\|fg\|_{H^s} \leq \|f\|_{L^\infty} \|g\|_{H^s} + \|f\|_{H^s} \|g\|_{L^\infty}$$



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Global Uniqueness

- commutator estimate (D^s some s -th order operator)

$$\| [D^s, u]v \|_{L^2} \leq C(\| \partial u \|_{L^\infty} \| v \|_{H^{s-1}} + \| u \|_{H^s} \| v \|_{L^\infty})$$



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$$\| [D^s, u]v \|_{L^2} \leq C(\| \partial u \|_{L^\infty} \| v \|_{H^{s-1}} + \| u \|_{H^s} \| v \|_{L^\infty})$$

- for $s > n/2$,

$$\| fg \|_{H^s} \leq \| f \|_{H^s} \| g \|_{H^s}$$

- If $F \in C^\infty(\mathbb{R})$, $s > n/2$,

$$\| F(u) \|_{H^s} \leq C(F, \| u \|_{L^\infty}) \| u \|_{H^s}$$



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■ $L[u]u = \square_{\mathbf{g}(u)}u$



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Global Uniqueness

- $L[u]u = \square_{\mathbf{g}(u)}u$
- Consider the Cauchy problem

$$L[u]u = F(u, \partial u)$$

$$u|_{t=0} = u_0, \quad \partial_t|_{t=0} = u_1$$



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Global Uniqueness

- $L[u]u = \square_{g(u)}u$
- Consider the Cauchy problem

$$L[u]u = F(u, \partial u)$$

$$u|_{t=0} = u_0, \quad \partial_t|_{t=0} = u_1$$

- Higher order energies. $E_s[u] = \sum_{j \leq s-1} E[D^j u]$, $D = \partial_x$



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- $L[u]u = \square_{g(u)} u$
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$$u|_{t=0} = u_0, \quad \partial_t|_{t=0} = u_1$$

- Higher order energies. $E_s[u] = \sum_{j \leq s-1} E[D^j u]$, $D = \partial_x$
- Prove local existence and well-posedness by contraction mapping principle



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- Using commutator and product estimates, get

$$\|L[u]D^{s-1}u\|_{L^2} \leq C(\|f\|_{H^{s-1}} + E_s[u])$$

- Energy estimate gives

$$E_s[u, T] \leq C(E_s[u, 0] + \|f\|_{L^1([0, T]; H^{s-1})})$$



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- Let X be the space of $u \in L^2[0, T] \times \Sigma$, with

$$\partial u \in L^\infty([0, T]; H^s) \cap C([0, T]; L^2)$$

with norm

$$|||u|||_s = \sup_{t \in [0, T]} E_s[u, t]$$

- For $\delta > 0$, let B_δ be the set

$$B_\rho = \{u \in X, u(0) = u_0, \partial_t u(0) = u_1, |||u|||_s \leq \rho\}$$

- The a priori bounds show for $s > n/2 + 1$, for δ, T sufficiently small B_δ is invariant under \mathcal{F} .



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- Define a metric

$$\rho(u, v) = |||u - v|||_1$$

on B_δ . Then (B_δ, ρ) is a complete metric space.

- The map $\mathcal{F} : v \rightarrow u$ defined by solving $L[u]u = F(v, \partial v)$ is a contraction T sufficiently small,

$$\rho(\mathcal{F}(v_1), \mathcal{F}(v_2)) \leq \frac{1}{2}\rho(v_1, v_2)$$

Proof: energy estimate

- By the contraction mapping principle, there is a unique solution to the equation $\mathcal{F}(u) = u$ in B_δ



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- We have proved

Theorem 1. *Local well-posedness holds for quasilinear wave equations in H^s , $s > n/2 + 1$.*

- More explicitly:

Consider the Cauchy problem for the QL wave equation

$$L[u]u = F(u, \partial u)$$

with data

$$u|_{\Sigma} = u_0, \quad \partial_t u|_{\Sigma} = u_1$$

$$(u_0, u_1) \in H^s \times H^{s-1}, \quad s > n/2 + 1$$



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- We have proved

Theorem 2. *Local well-posedness holds for quasilinear wave equations in H^s , $s > n/2 + 1$.*

- More explicitly:

Consider the Cauchy problem for the QL wave equation

$$L[u]u = F(u, \partial u)$$

with data

$$u|_{\Sigma} = u_0, \quad \partial_t u|_{\Sigma} = u_1$$

$$(u_0, u_1) \in H^s \times H^{s-1}, \quad s > n/2 + 1$$

- then there is $T > 0$ so that there is a unique solution for $t \in [0, T]$
(this statement refers to a given foliation)



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- Strong well-posedness: the solution curve depends continuously on the data



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Global Uniqueness

- Strong well-posedness: the solution curve depends continuously on the data
- Continuation principle: solution can be continued as long as $\|\partial u\|_{L^\infty}$ is in L_t^1 .



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Global Uniqueness

- Strong well-posedness: the solution curve depends continuously on the data
- Continuation principle: solution can be continued as long as $\|\partial u\|_{L^\infty}$ is in L_t^1 .
- smoothness propagates: C^∞ initial data gives C^∞ solution until blowup.



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■ Lagrangian

$$\mathcal{L} = \mathcal{L}_{\text{geom}} + \mathcal{L}_{\text{matter}}$$

$$\mathcal{L}_{\text{geom}} = \frac{1}{G_n} \int \mathbf{R} \sqrt{-g}$$

$\mathcal{L}_{\text{geom}}$ is known as the Einstein-Hilbert Lagrangian



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■ Lagrangian

$$\mathcal{L} = \mathcal{L}_{\text{geom}} + \mathcal{L}_{\text{matter}}$$

$$\mathcal{L}_{\text{geom}} = \frac{1}{G_n} \int \mathbf{R} \sqrt{-\mathbf{g}}$$

$\mathcal{L}_{\text{geom}}$ is known as the Einstein-Hilbert Lagrangian

■ variations: $\delta \mathbf{g}^{\mu\nu} = h^{\mu\nu}$

$$\delta \sqrt{-\mathbf{g}} = -\frac{1}{2} \mathbf{g}_{\mu\nu} h^{\mu\nu} \sqrt{-\mathbf{g}}$$



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where $(\delta \mathbf{R}_{\mu\nu}) \mathbf{g}^{\mu\nu}$ is a total divergence



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- Einstein vacuum equations:

$$\delta_{\mathbf{g}} \mathcal{L}_{\text{geom}} = 0 \Rightarrow G_{\mu\nu} = 0$$

where

$$G_{\mu\nu} = \mathbf{R}_{\mu\nu} - \frac{1}{2} \mathbf{R} g_{\mu\nu} \text{ is the } \textit{Einstein tensor}.$$



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- Einstein-matter equations:

$$\delta_{\mathbf{g}} \mathcal{L} = 0 \Rightarrow G_{\mu\nu} = G_n S_{\mu\nu}$$

where $S_{\mu\nu} = \delta_{\mathbf{g}} \mathcal{L}_{\text{matter}}$ is the *stress energy tensor*



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- $G_3 = 8\pi G$.



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- $\pi^{ij} = \sqrt{g}(K^{ij} - \text{tr}K g^{ij})$



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$$\pi^{ij} = \sqrt{g}(K^{ij} - \text{tr}K g^{ij})$$

$$\mathcal{L}_{\text{geom}} = \int dt \int_{\Sigma} \pi \dot{g} - N\mathcal{H} - X^i \mathcal{J}_i + \text{total divergence}$$

where

$$\mathcal{H} = \sqrt{g}R + \frac{1}{2}(\text{tr}\pi)^2 / \sqrt{g} - |\pi|^2 / \sqrt{g} = \sqrt{g}(R + (\text{tr}K)^2 - |K|^2)$$

$$\mathcal{J}_i = \nabla^j \pi_{ij} = \sqrt{g}(\nabla^j K_{ij} - \nabla_i \text{tr}K)$$



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$$\mathcal{J}_i = \nabla^j \pi_{ij} = \sqrt{g}(\nabla^j K_{ij} - \nabla_i \text{tr}K)$$

- N, X^i “Lagrange multipliers”, no E-L eq’s for N, X^i
variations w.r.t. N, X^i give vacuum constraints
 $\mathcal{H} = 0, \mathcal{J} = 0$



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- Contracted Gauss and Codazzi equations give

$$G_{TT} = R - |K|^2 + (\text{tr}K)^2$$

$$G_{Ti} = \nabla^j K_{ij} - \nabla_i \text{tr}K$$

Thus, the vacuum Einstein constraint equations are

$$G_{TT} = 0, G_{Ti} = 0.$$

- Remarkable fact: In order to construct a vacuum Cauchy development from a set of data (Σ, g, K) , it is sufficient that (Σ, g, K) solves the vacuum constraint equations. We call such (Σ, g, K) a *vacuum Cauchy data set*.



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■ Vacuum constraint equations

$$R - |K|^2 + (\operatorname{tr}K)^2 = 0, \quad \nabla^i K_{ij} - \nabla_j \operatorname{tr}K = 0$$

- (Σ, g, K) where (g, K) solve vacuum constraints on Σ is a vacuum *Cauchy data set*



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■ Vacuum constraint equations

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- (Σ, g, K) where (g, K) solve vacuum constraints on Σ is a vacuum *Cauchy data set*
- **Cauchy problem:** Given vacuum Cauchy data set (Σ, g, K) , construct a (maximal) globally hyperbolic vacuum spacetime (\mathbb{M}, \mathbf{g}) containing (Σ, g, K) as a Cauchy hypersurface.



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- Vacuum constraint equations

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- \mathbb{M} is called a *Cauchy development*, of (Σ, g, K)



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- (Σ^3, g) Riemannian.
 $g \rightarrow \phi^4 g$ conformal deformation. Scalar curvature transforms according to
$$R[\phi^4 g] = \phi^{-5}(-8\Delta\phi + R\phi)$$



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- Yamabe theorem: For any compact (Σ, g) , g can be conformally deformed to constant scalar curvature
- Given conformal background metric \hat{g} on Σ^3 , σ symmetric 2-tensor so that $\hat{g}^{ij}\sigma_{ij} = 0$, $\hat{\nabla}^i\sigma_{ij} = 0$, $H = \text{constant}$, solve

$$-8\Delta_{\hat{g}}\phi + R[\hat{g}]\phi - |\sigma|_{\hat{g}}^2\phi^{-7} + 6H^2\phi^{-5} = 0$$



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- Define $g = \phi^4\hat{g}$ $K = \phi^{-2}\sigma + Hg$
Then (g, K) solve the vacuum constraint equations with $\text{tr}K = 3H$



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Global Uniqueness

- Conformal method gives constant mean curvature data, does not work in general
- constructs solutions to constraints on all compact Σ
- asymptotic conditions: AE, AH etc. can be handled



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- Conformal method gives constant mean curvature data, does not work in general
- constructs solutions to constraints on all compact Σ
- asymptotic conditions: AE, AH etc. can be handled
- Linearization of $(g, K) \rightarrow (R - |K|^2 + (\text{tr}K)^2, \nabla^i K_{ij} - \nabla_j \text{tr}K)$ has surjective but not injective symbol, i.e. degenerate elliptic
solutions of constraints have “local” freedom, inspite of elliptic nature
- Gluing: data sets can be glued at non-KID points
AF data can be made asymptotically Schwarzschild
Constructs example of spacetime with no CMC slice



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■ Example:

$$\mathcal{L}_{\text{matter}} = \int \langle \nabla \phi, \nabla \phi \rangle + V(\phi)$$



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- Example:

$$\mathcal{L}_{\text{matter}} = \int \langle \nabla \phi, \nabla \phi \rangle + V(\phi)$$

- stress energy $S_{\mu\nu} = \delta_{\mathbf{g}} \mathcal{L}_{\text{matter}}$

$$S_{\mu\nu} = \nabla_{\mu} \phi \nabla_{\nu} \phi - \frac{1}{2} (\nabla_{\gamma} \phi \nabla^{\gamma} \phi + V(\phi)) \mathbf{g}_{\mu\nu}$$



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- Example:

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$$S_{\mu\nu} = \nabla_{\mu} \phi \nabla_{\nu} \phi - \frac{1}{2} (\nabla_{\gamma} \phi \nabla^{\gamma} \phi + V(\phi)) \mathbf{g}_{\mu\nu}$$

- Einstein equation $\mathbf{R}_{\mu\nu} - \mathbf{R} \mathbf{g}_{\mu\nu} = G_n S_{\mu\nu}$
- matter equation $\square \phi = V'(\phi)$



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- Einstein-matter constraints:

$$G_{TT} = G_n S_{TT}, \quad G_{Ti} = G_n S_{Ti}$$



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- Einstein-matter constraints:

$$G_{TT} = G_n S_{TT}, \quad G_{Ti} = G_n S_{Ti}$$

- For Einstein scalar field in 3+1 dimensions:

$$R - |K|^2 + (\text{tr}K)^2 = 8\pi G(T(\phi)^2 + |\nabla\phi|^2 + V(\phi)) =: 2\rho$$

$$\nabla^i K_{ij} - \nabla_j \text{tr}K = 8\pi G T(\phi) \nabla_j \phi =: \mu_j$$



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- For Einstein scalar field in 3+1 dimensions:

$$R - |K|^2 + (\text{tr}K)^2 = 8\pi G(T(\phi)^2 + |\nabla\phi|^2 + V(\phi)) =: 2\rho$$

$$\nabla^i K_{ij} - \nabla_j \text{tr}K = 8\pi G T(\phi) \nabla_j \phi =: \mu_j$$

- Dominant energy condition (DEC): $\rho \geq |\mu|$ holds for Einstein-scalar field, if $V \geq 0$.



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$$\square x^\alpha = \frac{1}{\sqrt{-g}} \partial_\mu (g^{\mu\alpha} \sqrt{-g}) = -g^{\mu\nu} \Gamma_{\mu\nu}^\alpha$$



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- $\square x^\alpha = \frac{1}{\sqrt{-g}} \partial_\mu (g^{\mu\alpha} \sqrt{-g}) = -g^{\mu\nu} \Gamma_{\mu\nu}^\alpha$
- Gauge source function:
Given $F^\alpha = F^\alpha(x, g)$, let
 $V^\alpha = g^{\mu\nu} \Gamma_{\mu\nu}^\alpha - F^\alpha$



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Given $F^\alpha = F^\alpha(x, g)$, let

$$V^\alpha = g^{\mu\nu} \Gamma_{\mu\nu}^\alpha - F^\alpha$$

- Example:

Fix a background spacetime (\hat{M}, \hat{g}) , $\hat{\nabla}$ covariant derivative, $\hat{\Gamma}$ Christoffel's of \hat{g}



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Given $F^\alpha = F^\alpha(x, g)$, let

$$V^\alpha = g^{\mu\nu} \Gamma_{\mu\nu}^\alpha - F^\alpha$$

- Example:

Fix a background spacetime (\hat{M}, \hat{g}) , $\hat{\nabla}$ covariant derivative, $\hat{\Gamma}$ Christoffel's of \hat{g}

- tension field

$$V^\alpha = g^{\mu\nu} (\Gamma_{\mu\nu}^\alpha - \hat{\Gamma}_{\mu\nu}^\alpha)$$

- $V = 0 \Leftrightarrow \mathbf{Id} : \mathbf{M} \rightarrow \hat{M}$ is harmonic (cf. DeTurck's trick for Ricci flow)
- Simplest case: $\square x^\alpha = 0$



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- Computation gives

$$\mathbf{R}_{\mu\nu} = -\frac{1}{2}\mathbf{g}^{\alpha\beta}\hat{\nabla}_{\alpha}\hat{\nabla}_{\beta}\mathbf{g}_{\mu\nu} + J(\mathbf{g}, \partial\mathbf{g})_{\mu\nu} + \frac{1}{2}(\nabla_{\mu}V_{\nu} + \nabla_{\nu}V_{\mu})$$

- $J(\mathbf{g}, \partial\mathbf{g})_{\mu\nu}$ is quadratic in $\partial\mathbf{g}$



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- Computation gives

$$\mathbf{R}_{\mu\nu} = -\frac{1}{2}\mathbf{g}^{\alpha\beta}\hat{\nabla}_{\alpha}\hat{\nabla}_{\beta}\mathbf{g}_{\mu\nu} + J(\mathbf{g}, \partial\mathbf{g})_{\mu\nu} + \frac{1}{2}(\nabla_{\mu}V_{\nu} + \nabla_{\nu}V_{\mu})$$

- $J(\mathbf{g}, \partial\mathbf{g})_{\mu\nu}$ is quadratic in $\partial\mathbf{g}$



$$\mathbf{R}_{\mu\nu}^{\text{red}} := \mathbf{R}_{\mu\nu} - \frac{1}{2}(\nabla_{\mu}V_{\nu} + \nabla_{\nu}V_{\mu})$$

is a quasilinear hyperbolic operator \Rightarrow has well posed Cauchy problem



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- Suppose we have vacuum Cauchy data (Σ, g, K) solving the Einstein constraints, and given lapse, shift N, X on Σ
- By choosing $\partial_t N, \partial_t X$, we can always get tension field $V = 0$ on Σ



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Global Uniqueness

- Suppose we have vacuum Cauchy data (Σ, g, K) solving the Einstein constraints, and given lapse, shift N, X on Σ
- By choosing $\partial_t N, \partial_t X$, we can always get tension field $V = 0$ on Σ
- Use result about Cauchy problem for QL wave equations to construct a solution g to the reduced Einstein equations

$$\mathbf{R}_{\mu\nu}^{\text{red}} = 0$$

with data $\mathbf{g}_{\mu\nu}, \partial_t \mathbf{g}_{\mu\nu}$ corresponding to (g, N, X) and $(K, \partial_t N, \partial_t X)$



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- Assume we have solved $\mathbf{R}_{\mu\nu}^{\text{red}} = 0$



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- Assume we have solved $\mathbf{R}_{\mu\nu}^{\text{red}} = 0$
- Then

$$G_{\mu\nu} = \frac{1}{2}(\nabla_{\mu}V_{\nu} + \nabla_{\nu}V_{\mu} - \nabla_{\gamma}V^{\gamma}\mathbf{g}_{\mu\nu})$$

- The Einstein constraints $G_{TT} = 0$, $G_{Ti} = 0$ hold on Σ by assumption, this forces $\partial_t V = 0 \Rightarrow V$ has trivial Cauchy data



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- The Einstein constraints $G_{TT} = 0$, $G_{Ti} = 0$ hold on Σ by assumption, this forces $\partial_t V = 0 \Rightarrow V$ has trivial Cauchy data
- From $\nabla^{\mu}G_{\mu\nu} = 0$ get

$$\nabla^{\mu}(\nabla_{\mu}V_{\nu} + \nabla_{\nu}V_{\mu} - \nabla_{\gamma}V^{\gamma}\mathbf{g}_{\mu\nu}) = 0$$

which gives

$$\square V_{\nu} + \mathbf{R}_{\nu}^{\delta}V_{\delta} = 0$$



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- Since V has trivial initial data, $V \equiv 0$ by uniqueness, so g solves the full vacuum Einstein equation $\mathbf{R}_{\mu\nu} = 0$.
- Important point: For general data (Σ, g, K) with noncompact Σ , *existence time may be* $= 0$
- However, due to causality (finite propagation speed) we can solve the Cauchy problem locally and patch together.



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- Since V has trivial initial data, $V \equiv 0$ by uniqueness, so g solves the full vacuum Einstein equation $\mathbf{R}_{\mu\nu} = 0$.
- Important point: For general data (Σ, g, K) with noncompact Σ , *existence time may be* $= 0$
- However, due to causality (finite propagation speed) we can solve the Cauchy problem locally and patch together.

Theorem 4. *Given vacuum Cauchy data set $\mathcal{S} = (\Sigma, g, K)$, there is a vacuum Cauchy development of \mathcal{S}*

Remark. This result does not claim any maximality or uniqueness for the development of \mathcal{S}

For $n = 3$, this proof requires data in H^s , $s \geq 4$.



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Theorem 5 (Choquet-Bruhat, Geroch, 1969). *Let $\mathcal{S} = (\Sigma, g, K)$ be a vacuum Cauchy data set. Then there is a maximal vacuum Cauchy development of \mathcal{S} , which is unique up to diffeomorphism.*



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Theorem 6 (Choquet-Bruhat, Geroch, 1969). *Let $\mathcal{S} = (\Sigma, g, K)$ be a vacuum Cauchy data set. Then there is a maximal vacuum Cauchy development of \mathcal{S} , which is unique up to diffeomorphism.*

Proof: In the proof we will use the term *extension* both for a vacuum Cauchy development of a Cauchy data set \mathcal{S} and for an extension in the following sense:

If M, M' are globally hyperbolic, vacuum and there is diffeo $\psi : M \rightarrow M'$, then we call M' an *extension* of M



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Theorem 7 (Choquet-Bruhat, Geroch, 1969). *Let $\mathcal{S} = (\Sigma, g, K)$ be a vacuum Cauchy data set. Then there is a maximal vacuum Cauchy development of \mathcal{S} , which is unique up to diffeomorphism.*

Proof: In the proof we will use the term *extension* both for a vacuum Cauchy development of a Cauchy data set \mathcal{S} and for an extension in the following sense:

If M, M' are globally hyperbolic, vacuum and there is diffeo $\psi : M \rightarrow M'$, then we call M' an *extension* of M

- Recall: A binary relation \leq on a set X is a partial order if it is reflexive, transitive and antisymmetric



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Theorem 8 (Choquet-Bruhat, Geroch, 1969). *Let $\mathcal{S} = (\Sigma, g, K)$ be a vacuum Cauchy data set. Then there is a maximal vacuum Cauchy development of \mathcal{S} , which is unique up to diffeomorphism.*

Proof: In the proof we will use the term *extension* both for a vacuum Cauchy development of a Cauchy data set \mathcal{S} and for an extension in the following sense:

If M, M' are globally hyperbolic, vacuum and there is diffeo $\psi : M \rightarrow M'$, then we call M' an *extension* of M

- Recall: A binary relation \leq on a set X is a partial order if it is reflexive, transitive and antisymmetric
- Zorn's lemma: A partially ordered set such that each totally ordered subset has an upper bound, has a maximal element. Zorn \Leftrightarrow axiom of choice.



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Theorem 9 (Choquet-Bruhat, Geroch, 1969). *Let $\mathcal{S} = (\Sigma, g, K)$ be a vacuum Cauchy data set. Then there is a maximal vacuum Cauchy development of \mathcal{S} , which is unique up to diffeomorphism.*

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If M, M' are globally hyperbolic, vacuum and there is diffeo $\psi : M \rightarrow M'$, then we call M' an *extension* of M

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- Let $\mathcal{M} = \{ \text{extensions of } \mathcal{S} \}$



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- Let $\mathcal{M} = \{ \text{extensions of } \mathcal{S} \}$
- Let $N, N' \in \mathcal{M}$. (U, ψ) is a common part if $U \subset N$, $\psi : U \rightarrow N'$ diffeo. U is nonempty by local well-posedness.



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Examples

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- Let $N, N' \in \mathcal{M}$. (U, ψ) is a common part if $U \subset N$, $\psi : U \rightarrow N'$ diffeo. U is nonempty by local well-posedness.
- $N, N' \in \mathcal{M}$. $N \leq N'$ if the maximal common part is $U = N$. \mathcal{M} is partially ordered by \leq .



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- Let $\mathcal{M} = \{ \text{extensions of } \mathcal{S} \}$
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- Consider totally ordered subset $\{N_\alpha\}$ of \mathcal{M} . Common parts (U, ψ) induce equivalence relation \sim . Let $K = (\cup_\alpha N_\alpha) / \sim$. This defined a topology on K , as well as a natural metric and differentiable structure.



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- Consider totally ordered subset $\{N_\alpha\}$ of \mathcal{M} . Common parts (U, ψ) induce equivalence relation \sim . Let $K = (\cup_\alpha N_\alpha) / \sim$. This defined a topology on K , as well as a natural metric and differentiable structure.
- From the definitions, K is a development of each N_α and hence K is an upper bound to $\{N_\alpha\}$. Therefore by Zorn, \mathcal{M} has a maximal element M .



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- Remains to show: M is an extension of each extension of \mathcal{S} . Let M' be some other extension. Let $\tilde{M} = M \cup M' / \sim$. If $\tilde{M} \in \mathcal{M}$, then $\tilde{M} = M$ and we are done. Suppose not, then can argue \tilde{M} fails to be Hausdorff.



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- Final steps in the proof:
Let (U, ψ) be maximal common part of M, M' , $\psi : U \rightarrow M$. Take a non-Hausdorff point p' , consider $\psi(T' - p') \cup \{p\}$ for some Cauchy surface T' in M' .



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- Final steps in the proof:
Let (U, ψ) be maximal common part of M, M' , $\psi : U \rightarrow M$. Take a non-Hausdorff point p' , consider $\psi(T' - p') \cup \{p\}$ for some Cauchy surface T' in M' .
- By local well posedness, get extension, which contradicts maximality of U . Thus, \tilde{M} is Hausdorff, and $\tilde{M} \in \mathcal{M}$. Thus $\tilde{M} = M$, and hence M is unique.



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Maximal globally hyperbolic spacetimes, which *do not have any* (even non-globally hyperbolic) C^2 extensions



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Maximal globally hyperbolic spacetimes, which *do not have any* (even non-globally hyperbolic) C^2 extensions

- Minkowski space \mathbb{R}^{n+1}



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Maximal globally hyperbolic spacetimes, which *do not have any* (even non-globally hyperbolic) C^2 extensions

- Minkowski space \mathbb{R}^{n+1}
- Lorentz cone $-dt^2 + t^2\gamma_{\mathbb{H}}^n$ for $n \geq 2$, where $\gamma_{\mathbb{H}}^n$ is an n -dimensional compact hyperbolic manifold



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- Generic T^3 Gowdy spacetimes



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- Generic T^3 Gowdy spacetimes
- Non-Taub Bianchi IX



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Maximal globally hyperbolic spacetimes which *have* non-globally hyperbolic vacuum extensions

- $I^+(\{0\})$ in \mathbb{R}^{n+1}



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Maximal globally hyperbolic spacetimes which *have* non-globally hyperbolic vacuum extensions

- $I^+(\{0\})$ in \mathbb{R}^{n+1}
- 1+1 dimensional Misner: Lorentz cone $-dt^2 + t^2 S^1$



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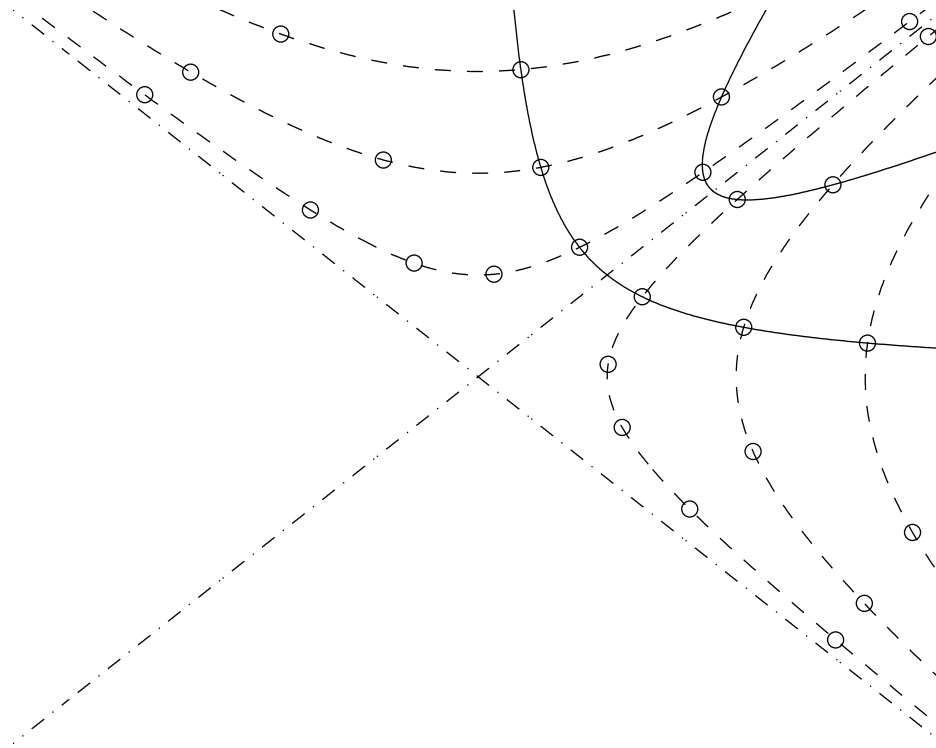
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- The Taub-NUT spacetime $\mathbf{M} \cong \mathbb{R} \times S^3$, is a spatially homogenous solution of the Einstein equations.
- The Cauchy surfaces are S^3 collapsing along the Hopf-fibration.



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- The Cauchy horizon \mathcal{H} is a pair of null S^3 , and the spacetime metric is smooth at \mathcal{H} .



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- The Taub-NUT spacetime $\mathbf{M} \cong \mathbb{R} \times S^3$, is a spatially homogenous solution of the Einstein equations.
- The Cauchy surfaces are S^3 collapsing along the Hopf-fibration.
- The Cauchy horizon \mathcal{H} is a pair of null S^3 , and the spacetime metric is smooth at \mathcal{H} .
- There are inequivalent (non-globally hyperbolic) vacuum extensions of Taub-NUT

