# The Maslov index via semi-Riemannian submersions

#### Erasmo Caponio and Miguel Angel Javaloyes

Politecnico di Bari / Instituto de Matemática e Estatística, Universidade de São Paulo

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The Maslov Index: an introduction

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## The Maslov Index: an introduction

In semi-Riemannian geometry, when the metric g is non-positive, the index form of a geodesic γ : [a, b] → M,

$$I(V,W) = \int_a^b (g(V',W') - g(R_{V\dot{\gamma}}W,\dot{\gamma})) ds$$

associated to the energy functional

$$f(\gamma) = \int_{a}^{b} g(\dot{\gamma}, \dot{\gamma}) ds$$

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is always strongly indefinite, its index and coindex are infinite.

There is no Morse Index Theorem in the classical sense, (index of the index form equals the number of conjugate points counted with multiplicity).

It is possible to establish a semi-Riemannian Morse Index Theorem making a splitting of

 $\mathcal{H} = \{E \text{ a vector field along } \gamma \text{ of class } H^1 \text{ and } E(a) = E(b) = 0\}$ 

as  $\mathcal{H} = \mathcal{H}_1 + \mathcal{H}_2$ . Then the index and coindex of these subspaces are finite and its difference gives the Maslov index.

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 P. Piccione, A. Portaluri, D. V. Tausk, Spectral Flow, Maslov Index and Bifurcation of semi-Riemannian Geodesics, Ann. Global Anal. Geom. 25 (2004), no. 2, 121–149. The Maslov Index: abstract definition

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The Maslov Index: abstract definition

Given a symplectic space (V, ω), a Lagrangian L<sub>0</sub> and a path of Lagrangians in the Lagrangian Grasmannian Λ t → Φ(t) ∈ Λ, the Maslov index μ<sub>L0</sub>(Φ) is an intersection number of Φ with

$$\Lambda_{\geq 1}(L_0) = \{L \in \Lambda \text{ such that } L \cap L_0 \neq \{0\}\}$$

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- We associate to γ an orthonormal parallel frame, so that the vector fields are represented as V : [a, b] → ℝ<sup>n</sup>
- Let  $(\mathbb{R}^n \times \mathbb{R}^n, \omega)$  be the symplectic space given by

$$\omega_g((v_1, w_1), (v_2, w_2)) = g(v_1, w_2) - g(v_2, w_1)$$

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For every 
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, the subspace

 $\Phi(t) = \{(J(t), J'(t)) : J \text{ is a Jacobi field such that } J(0) = 0\}$ 

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Finally, we fix the Lagrangian L<sub>0</sub> = {0} × ℝ<sup>n</sup>. The Maslov Index of γ is μ<sub>L0</sub>(Φ)

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The curve t → Φ(t) intersects with L<sub>0</sub> only when t<sub>0</sub> is a conjugate instant.

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- When t<sub>0</sub> is a non-degenerate conjugate instant, its contribution to the Maslov Index is given by the signature of the space J[t<sub>0</sub>]<sup>⊥</sup>, where

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 In semi-Riemannian geometry conjugate instants can accumulate

Let M and B be two semi-Riemannian manifolds. A semi-Riemannian submersions is a map  $\pi: M \to B$  such that

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For every point  $p \in M$  we can split the tangent space as

$$T_p M = \mathcal{V} T_p M + \mathcal{H} T_p M.$$

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B. O'Neill introduces the fundamental tensors T and A on M defined as follows. We denote by  $\nabla$  and  $\nabla^*$  the Levi-Civita connections of M and B. Then for E and F of  $\mathfrak{X}(M)$ ,

 $T_E F = \mathcal{H} \nabla_{\mathcal{V}E} (\mathcal{V}F) + \mathcal{V} \nabla_{\mathcal{V}E} (\mathcal{H}F) \Rightarrow T = 0 \Leftrightarrow \text{fibers are tot. geo..}$ 

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and the dual tensor A:

$$A_E F = \mathcal{V} \nabla_{\mathcal{H} E} \mathcal{H} F + \mathcal{H} \nabla_{\mathcal{H} E} \mathcal{V} F. \quad \Rightarrow \quad A = 0 \Leftrightarrow \text{Hor. distri. is integr.}$$

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The fundamental equations were studied in the paper by B. O'Neill:

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The results in the first paper are easily extended to the semi-Riemannian case, but there are some difficulties for the second paper.

The derivatives of a curve and its projection

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The derivatives of a curve and its projection

#### Proposition

Let  $\alpha$  be a curve in M with  $X = \mathcal{H}\alpha'$  and  $U = \mathcal{V}\alpha'$ . Then

$$\mathcal{H}(\alpha'') = \alpha''_* + 2A_X U + T_U U$$
$$\mathcal{V}(\alpha'') = T_U X + \mathcal{V}(U')$$

where  $\alpha''_*$  is the horizontal lift to  $\alpha$  of the acceleration of  $\pi \circ \alpha$  in *B*.

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#### Theorem

Let  $\pi: M \to B$  a semi-Riemannian submersion. If  $\gamma$  is a geodesic of M that is horizontal at some point, then it is always horizontal (hence  $\pi \circ \gamma$  is a geodesic of B).

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### Definition

If E = H + V is a vector field on a horizontal curve  $\gamma$  and  $X = \gamma'$ , then

$$D(E) = \mathcal{V}(V') - T_V X + 2A_X H$$

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The derived vector field of E is zero if and only if it can be obtained as the variational field of a variation by horizontal curves.

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The derived vector field of E is zero if and only if it can be obtained as the variational field of a variation by horizontal curves. We can interpret D(E) as a measure of how far from being horizontal the variation is.

# Lifting variations

## Proposition Let $\beta : [a, b] \to B$ be a curve in B and fix $p \in \pi^{-1}(\beta(a))$ .

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Then there exists a horizontal lift γ : [a, c| → M (c ≤ b) that projects into β and it is the maximal horizontal lift through p = γ(a) projecting to β.

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Furthermore, let Ψ : [a, b] × (-ε, ε) → B be a variation of the curve β and η : (-ε, ε) → M such that π ∘ η(s) = Ψ(a, s), then there exists a variation Γ : [a, c| × (-δ, δ) → M with Γ(a, s) = η(s) and such that Γ(t, s) is the horizontal lift of Ψ(t, s) departing from η(s) for every s ∈ (-δ, δ).

Derived vector field and Jacobi fields

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#### Theorem

Let *E* be a vector field on a horizontal geodesic  $\gamma$  in *M*. Then

$$\mathcal{H}(E'' - R_{EX}X) = (E'_* - R^*_{E^*X}X) + 2A_XD$$
$$\mathcal{V}(E'' - R_{EX}X) = \mathcal{V}(D') + T_DX$$

where D = D(E) is the derived vector field of E,  $X = \gamma'$  and R and  $R^*$  are the curvature tensors of M and B.

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### Corollary

A field *E* on a horizontal geodesic in *M* with derived vector field D(E) = 0 is Jacobi if and only if  $P = d\pi(E)$  is a Jacobi field of  $\pi \circ \gamma$  in *B*.

## The main result

#### Theorem

Let  $\pi: M \to B$  be a semi-Riemannian submersion and  $\gamma: [a, b] \to M$  a horizontal geodesic segment. Then

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- Let  $\pi: M \to B$  be a semi-Riemannian submersion and
- $\gamma: [\textit{a},\textit{b}] \rightarrow \textit{M}$  a horizontal geodesic segment. Then
  - ▶ an instant  $t_0$  is a focal point of  $\gamma$  related to the fibre  $\mathcal{F}(a)$  in  $\gamma(a)$  if and only if is a conjugate instant of the curve  $\pi \circ \gamma$ .

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- an instant  $t_0$  is a focal point of  $\gamma$  related to the fibre  $\mathcal{F}(a)$  in  $\gamma(a)$  if and only if is a conjugate instant of the curve  $\pi \circ \gamma$ .
- Furthermore, if t<sub>0</sub> is a non-degenerate focal instant of γ, then so is of π ∘ γ and the contribution to the Maslov index given by γ(t<sub>0</sub>) coincides with the one of π ∘ γ(t<sub>0</sub>) as a conjugate instant.

#### Lemma

Let  $\gamma$  be a horizontal geodesic in M and let  $\mathcal{F}(a)$  be the fibre passing through  $\gamma(a)$ . Then a field E on  $\gamma$  is a  $\mathcal{F}(a)$ -Jacobi field iff E is Jacobi, D(E) = 0 and E(a) is vertical.

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#### "⇒"

 $\mathcal{F}(a)$ -Jacobi field means that it is the variational vector field of a variation by geodesics orthogonal to the fiber  $\mathcal{F}(a)$ . Then these geodesics are horizontal, so that D(E) = 0 and E(a) is vertical.

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 $D(E)(a) = 0$  is just the condition to  $E$  be  $\mathcal{F}(a)$ -Jacobi

 $\begin{aligned} \mathcal{J} &= \{ \text{Jacobi fields along } \gamma \} \\ \mathcal{J}^0_* &= \{ E \quad J \text{ Jacobi fields along } \pi \circ \gamma \text{ such that } J(a) = 0 \} \\ \mathcal{J}^v_\delta &= \{ E \in \mathcal{J} : E(a) \text{ is vertical and } D(E) = 0 \} \end{aligned}$ 

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It is enough to show that  $\mathcal{J}_{\delta}^{v}[t_{0}]^{\perp}$  and  $\mathcal{J}_{*}^{0}[t_{0}]^{\perp}$  are isometric subspaces. In fact we will see that  $\mathcal{J}_{\delta}^{v}[t_{0}]$  is the lifting of  $\mathcal{J}_{*}^{0}[t_{0}]$  (so that contains the vertical subspace).

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- The variation η(t, s) = exp<sub>β(s)</sub>((t − t<sub>0</sub>)ψ<sub>t</sub>(t<sub>0</sub>, s)) is a lift of ψ(t, s) by horizontal geodesics. Then η<sub>s</sub>(t, 0) ∈ J<sup>v</sup><sub>δ</sub> and v + F[t<sub>0</sub>] ∈ J<sup>v</sup><sub>δ</sub>[t<sub>0</sub>]

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- ▶ It is just the geometric index of the projected geodesic in *M*.