

Spectral functions: Techniques and applications (The ugly business of heat kernel coefficients.)

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Baylor University

Conference in Geometry and Global Analysis
Celebrating Peter Gilkey's 65th birthday

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Outline

- 1 Introduction
- 2 Boundary conditions and invariance theory
- 3 Special case calculation on the ball
- 4 Functorial properties
 - Product formulas
 - Conformal transformations
- 5 On the analysis of spectral functions
- 6 Conclusions

Introduction

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How many ways are there to write an integer N as a sum of smaller integers?

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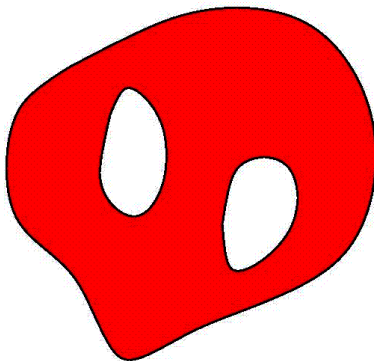
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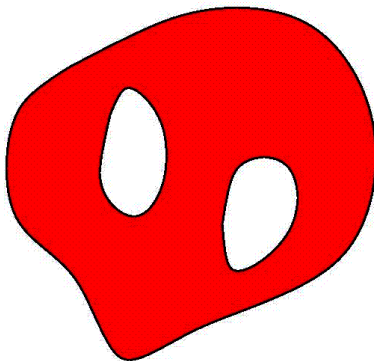
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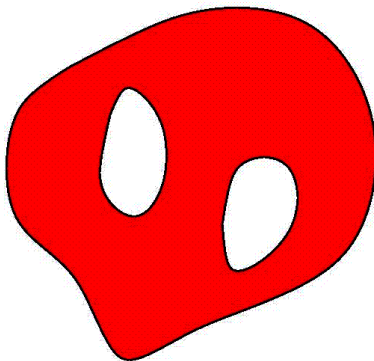


Introduction



Can one hear the shape of a drum?

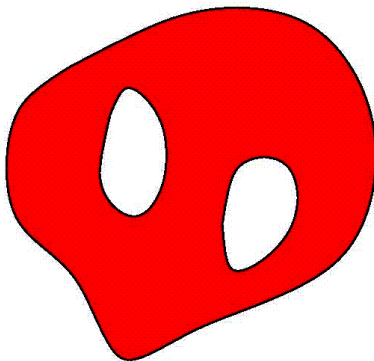
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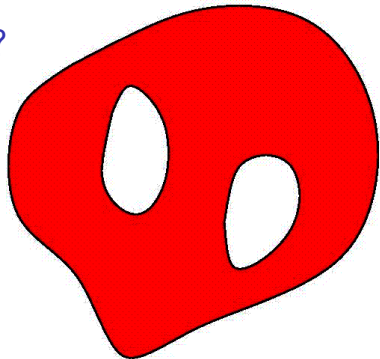


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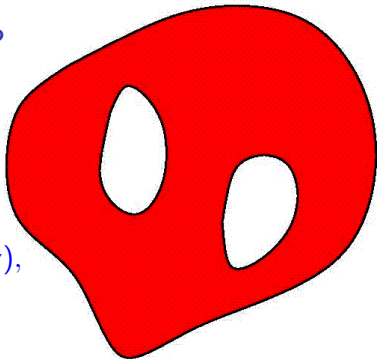


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- Amplitude and fundamental tones of vibrations

$$\left(-\frac{\partial^2}{\partial x^2} - \frac{\partial^2}{\partial y^2} \right) \phi_k(x, y) = \lambda_k \phi_k(x, y),$$

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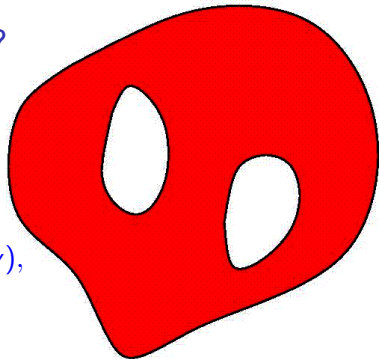


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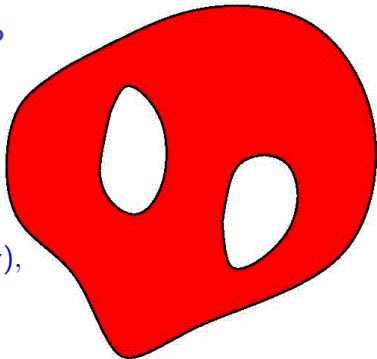
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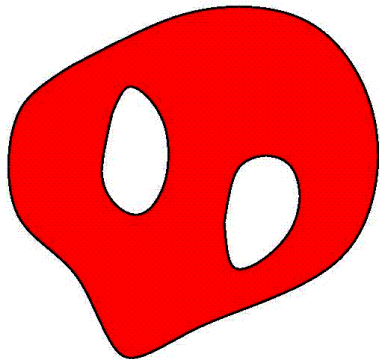
- Heat kernel

$$K(t) = \sum_{k=1}^{\infty} e^{-\lambda_k t} \quad t \rightarrow 0 \quad \frac{\text{area}}{4\pi t} - \frac{\text{length}/4}{\sqrt{4\pi t}} + \frac{1}{6}(1 - h) + \mathcal{O}(t^{1/2})$$

M. Kac, Am. Math. Monthly 73 (1966) 1

H.P. Mc Kean and I.M. Singer, J. Diff. Geom. 1 (1967) 43

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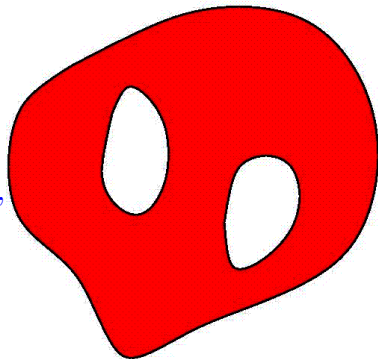


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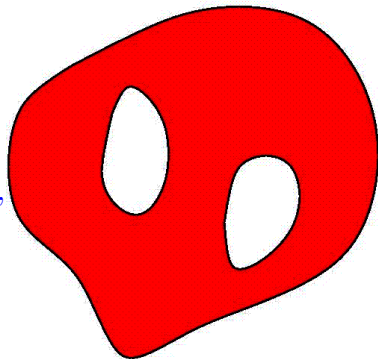


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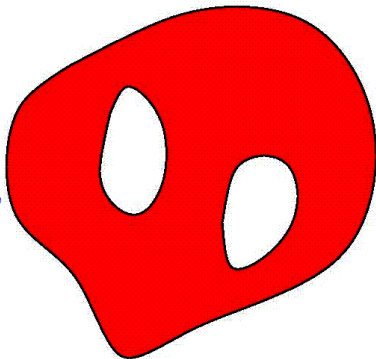
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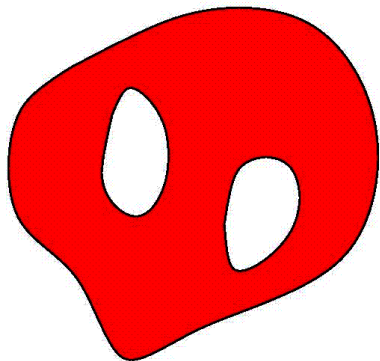


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$$\underset{\beta \rightarrow 0}{\sim} \beta^{-D/2} \zeta_R\left(\frac{D}{2}\right) a_0 + \beta^{-(D-1)/2} \zeta_R\left(\frac{D-1}{2}\right) a_{1/2} + \dots$$

What does the Casimir effect know about a boundary?

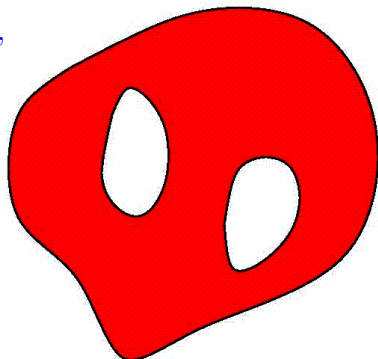


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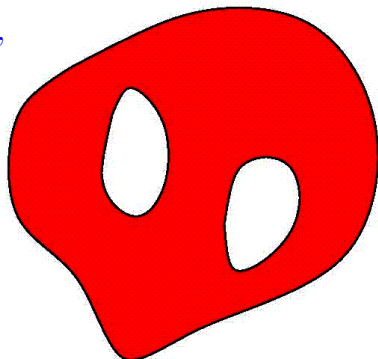
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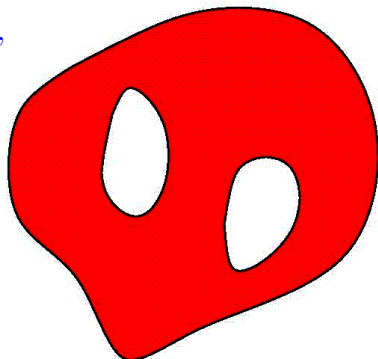
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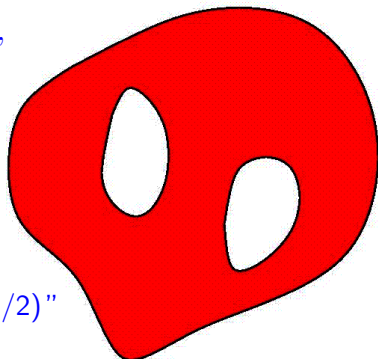
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What does the Casimir effect know about a boundary?

- In more detail

$$\zeta\left(-\frac{1}{2} + \epsilon\right) = \frac{1}{\epsilon} a_2 + \text{FP } \zeta\left(-\frac{1}{2}\right) + \mathcal{O}(\epsilon)$$

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T. Eguchi, P.B. Gilkey and A.J. Hanson, *Phys. Rep.* 66 (1980) 213 (895 citations)

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What is the small- t asymptotics of the heat kernel?

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$$K(t, F) \sim t^{-D/2} \sum_{n=0,1,2,\dots}^{\infty} c_n(F, P) t^n$$

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- \mathcal{B} local and strongly elliptic

$$K(t, F) \sim t^{-D/2} \sum_{n=0,1,2,\dots}^{\infty} c_n(F, P) t^n + t^{-(D-1)/2} \sum_{\ell=0,1/2,1,\dots}^{\infty} b_\ell(F, P, \mathcal{B}) t^\ell$$

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$c_n(F, P)$ and $b_\ell(F, P, \mathcal{B})$ are the heat kernel coefficients depending on geometric invariants and the boundary condition.

Introduction

- General strategy: Write down general form using independent invariants

$$c_i l_i, \quad i = 1, 2, \dots$$

c_i are numerical multipliers and l_i are

$$R, R_{ij}R^{ij}, \Delta R, \dots \quad L_a^a, L_{ab}L^{ab}, \dots$$

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- Determine numerical multipliers using
 - a.) special cases
 - b.) functorial properties (product formula, conformal transformations)
- Advantages:
 - a.) long calculation split into pieces
 - b.) character of a riddle, quite enjoyable
 - c.) provides many crosschecks due to overlapping information obtained by the conglomerate of methods

Boundary conditions and invariance theory

- Laplace type operator P

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- Heat trace

$$\begin{aligned} K(t, F) &= \text{Tr}_{L^2(M)} \left(F e^{-tP} \right) \\ &\sim t^{-D/2} \sum_{n=0, 1/2, 1, \dots}^{\infty} a_n(F, P, \mathcal{B}) t^n \end{aligned}$$

Boundary conditions and invariance theory

- General form of the coefficients; dimensional consideration

$$P : \text{length}^{-2}$$

$$e^{-tP} : [t] = \text{length}^2$$

$$a_n(F, P, \mathcal{B}) : \text{length}^{D-2n}$$

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- Structure of the coefficients

$$a_n(F, P, \mathcal{B}) = \int_M dx c_n(x, F, P) + \int_{\partial M} dy b_n(y, F, P, \mathcal{B})$$

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$$b_n(y, F, P, \mathcal{B}) : \text{length}^{1-2n}$$

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- Building blocks

$$E, R, R_{ij}, R_{ijkl} : \text{length}^{-2} \quad L_{ab} : \text{length}^{-1}$$

and contractions, covariant derivatives thereof.

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$$b_{3/2} = (4\pi)^{-(D-1)/2} \delta 96^{-1} \left[F \left(e_0 E + e_1 R + e_2 R_{mm} + e_3 L_a^a L_b^b \right. \right. \\ \left. \left. e_4 L_{ab} L^{ab} \right) + e_5 L_a^a F_{;m} + e_6 F_{;mm} \right] [\partial M]$$

b_2 : 19 terms

$b_{5/2} \sim 75$ terms

Special case calculation on the ball

Example with non-vanishing extrinsic curvature is very useful

- Geometry of the ball ($D = d + 1$)

$$L_a^b = \delta_a^b, \quad L_a^a = d, \quad L_{ab}L^{ab} = d, \dots$$

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- Localizing function

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$$b_{3/2} = (4\pi)^{-(D-1)/2} \delta 96^{-1} [F(7d^2 - 10d) + 30dF' + 24F''] [\partial M]$$

Functorial properties

- Let $M = M_1 \times M_2$, $\partial M = M_1 \times \partial M_2$, $g_M = g_{M_1} + g_{M_2}$, then $R(M) = R(M_1) + R(M_2)$.

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- Furthermore let $P_M = P_{M_1} + P_{M_2}$, $F_M = F_{M_1} F_{M_2}$, then

$$e^{-tP_M} = e^{-tP_{M_1}} e^{-tP_{M_2}},$$
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- In particular,

$$a_{3/2}(M, P, \mathcal{B}) = a_1(M_1, P_1) b_{1/2}(M_2, P_2, \mathcal{B})$$
$$+ a_0(M_1, P_1) b_{3/2}(M_2, P_2, \mathcal{B})$$
$$\implies c_0 = 96, \quad c_1 = 16.$$

Functorial properties

- Idea: consider variations of the coefficients

$$\begin{aligned} P(\epsilon) &= e^{-2\epsilon F} P \\ &=: -(g^{ij}(\epsilon) \nabla_i(\epsilon) \nabla_j(\epsilon) + E(\epsilon)) \end{aligned}$$

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- Relation between the associated coefficients

$$\left. \frac{d}{d\epsilon} \right|_{\epsilon=0} a_n(1, P(\epsilon), \mathcal{B}(\epsilon)) = (D - 2n) a_n(F, P, \mathcal{B})$$

Functorial properties

- For $n = 3/2$

$$\left. \frac{d}{d\epsilon} \right|_{\epsilon=0} b_{3/2}(1, P(\epsilon), \mathcal{B}(\epsilon)) - (D - 3)b_{3/2}(F, P, \mathcal{B}) = 0$$

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- Set the coefficient of $F_{;mm}$ equal zero

$$\begin{aligned} \frac{1}{2}(D-2)c_0 - 2(D-1)c_1 - (D-1)c_2 - (D-3)c_6 &= 0 \\ \implies c_2 &= -8 \end{aligned}$$

$$\begin{aligned}
a_{5/2}(F, P, B) = & \mp 5760^{-1} (4\pi)^{-d/2} \{ F \{ g_1 E_{;mm} + g_2 E_{;m} S + g_3 E^2 \\
& + g_4 E_{;a}{}^a + g_5 RE + j_1 \Omega_{ab} \Omega^{ab} + g_6 \Delta R + g_7 R^2 + g_8 R_{ij} R^{ij} + g_9 R_{ijkl} R^{ijkl} \\
& + g_{10} R_{mm} E + g_{11} R_{mm} R + g_{12} RS^2 + j_2 \Omega_{am} \Omega^a{}_m + g_{13} R_{;mm} + g_{14} R_{mm;a}{}^a \\
& + g_{15} R_{mm;mm} + g_{16} R_{;m} S + g_{17} R_{mm} S^2 + g_{18} SS_{;a}{}^a + g_{19} S_{;a} S^a \\
& + g_{20} R_{amm} b R^{ab} + g_{21} R_{mm} R_{mm} + g_{22} R_{amm} b R^a{}_{mm}{}^b + g_{23} ES^2 + g_{24} S^4 \} \\
& + F_{;m} \{ g_{25} R_{;m} + g_{26} RS + g_{27} R_{mm} S + g_{28} S_{;a}{}^a + g_{29} E_{;m} + g_{30} ES + g_{31} S^3 \} \\
& + F_{;mm} \{ g_{32} R + g_{33} R_{mm} + g_{34} E + g_{35} S^2 \} + g_{36} SF_{;mmm} + g_{37} F_{;mmmm} \\
& + F \{ d_1 KE_{;m} + d_2 KR_{;m} + d_3 K^{ab} R_{amm} b_{;m} + d_4 KS_{;b}{}^b + d_5 K_{ab} S^{ab} \\
& + d_6 K_{;b} S^b + d_7 K_{ab}{}^a S^b + d_8 K_{;b}{}^b S + d_9 K_{ab}{}^{ab} S + d_{10} K_{;b} K^b + d_{11} K_{ab}{}^a K^b \\
& + d_{12} K_{ab}{}^a K^{bc}{}_{;c} + d_{13} K_{ab;c} K^{ab}{}^c + d_{14} K_{ab;c} K^{ac}{}^b + d_{15} K_{;b}{}^b K \\
& + d_{16} K_{ab}{}^{ab} K + d_{17} K_{ab}{}^a K^{bc} + d_{18} K_{;bc} K^{bc} + d_{19} K_{bc;a}{}^a K^{bc} \\
& + g_{38} KSE + d_{20} KSR_{mm} + g_{39} KSR + d_{21} K_{ab} R^{ab} S + d_{22} K^{ab} SR_{amm} b
\end{aligned}$$

$$\begin{aligned}
& +g_{40}K^2E + g_{41}K_{ab}K^{ab}E + g_{42}K^2R + g_{43}K_{ab}K^{ab}R + d_{23}K^2R_{mm} \\
& +d_{24}K_{ab}K^{ab}R_{mm} + d_{25}KK_{ab}R^{ab} + d_{26}KK^{ab}R_{aamm} + d_{27}K_{ab}K^{ac}R_c^b \\
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& +d_{33}K^3S + d_{34}KK_{ab}K^{ab}S + d_{35}K_{ab}K^{bc}K_c^aS + d_{36}K^4 + d_{37}K^2K_{ab}K^{ab} \\
& +d_{38}K_{ab}K^{ab}K_{cd}K^{cd} + d_{39}KK_{ab}K^{bc}K_c^a + d_{40}K_{ab}K^{bc}K_{cd}K^{da} \} \\
& +F_{;m} \{ g_{44}KE + d_{41}KR_{mm} + g_{45}KR + d_{42}KS^2 \\
& +d_{43}K_{;b}^b + d_{44}K_{ab;ab} + d_{45}K_{ab}R^{ab} + d_{46}K^{ab}R_{aamm} + d_{47}K^2S \\
& +d_{48}K_{ab}K^{ab}S + d_{49}K^3 + d_{50}KK_{ab}K^{ab} + d_{51}K_{ab}K^{bc}K_c^a \} \\
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On the analysis of spectral functions

- Example: two dimensional ball
Laplacian in polar coordinates:

$$\left[-\frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial}{\partial r} \right) - \frac{1}{r^2} \frac{\partial^2}{\partial \varphi^2} \right] \phi_{m,n}(r, \varphi) = \lambda_{m,n} \phi_{m,n}(r, \varphi)$$

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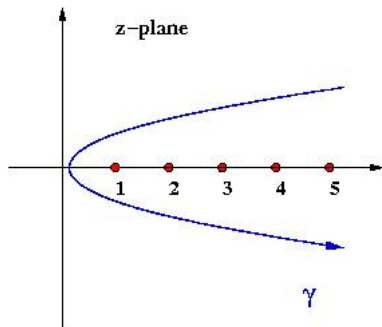
- Impose boundary condition:

$$J_{|m|} \left(\sqrt{\lambda_{m,n}} \right) = 0$$

On the analysis of spectral functions

- Zeta function:

$$\begin{aligned}\zeta(s) &= \sum_{m=-\infty}^{\infty} \sum_{n=0}^{\infty} \lambda_{m,n}^{-s} \\ &= \sum_{m=-\infty}^{\infty} \int_{\gamma} \frac{dz}{2\pi i} z^{-2s} \frac{\partial}{\partial z} \ln J_{|m|}(z)\end{aligned}$$



On the analysis of spectral functions

- **Techniques:**

- deformation of the contour γ
- Debye expansions
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- **Results:**

- heat kernel coefficients
- functional determinants
- Casimir energy

Conclusions

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- The approach is expected to work whenever the configuration allows for a separation of variables.