About the Lorentzian version of Nash's theorem on isometric embeddings

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Santiago de Compostela, December '10, in honour to Professor Peter Gilkey



Theorem

(Nash, Ann. Math.'56.) Any smooth Riemannian manifold (M, g) can be isometrically embedded in [an arbitrarily small open subset U of] \mathbb{R}^N , for some N.

Notes:

- Smooth: C^{∞} —but C^3 is enough
- Value of N: if $m = \dim(M)$, Nash's bound was N = (m+1)(3m(m+1)/2 + 4m)
- Günther's '89 bound: $\max \{2m + m(m+1)/2, m+5 + m(m+1)/2\}$ (optimal?, it can be lowered in many particular cases)

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We do not worry about these bounds but for the following:

Is a Lorentzian version available?



Independent simple arguments by Greene (Memoirs AMS'70) and Clarke (Proc. London'70) show:

Theorem

Any smooth manifold M endowed with a pseudo-metric (or, equivalently a possibly degenerate quadratic form) can be isometrically embedded in semi-Euclidean space \mathbb{R}^N_{ν} for sufficiently large dimension N and index ν .

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(just by reducing the problem to the Riemannian one). However, the question is not so trivial if the index ν is not allowed to be arbitrary. That is, we focus in:

Which Lorentzian manifolds can be isometrically embedded in some \mathbb{L}^N ?



About this problem:

Our viewpoint will be global; locally such embeddings always exist (see for example JC Díaz Ramos & E García Río '04 about consequences for the curvature in Gilkey's book '01, further developed JC Díaz Ramos, E García Río, B. Fiedler, P. Gilkey '05).

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- 4 Clarke '70 claimed that any globally hyperbolic spacetime can be isometrically embedded in \mathbb{L}^N .
- **5** However, his proof was affected by the so-called *folk problems* of causally-constructed functions.

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Any globally hyperbolic s-t. admits a steep temporal function au

by using techniques which are not affected by the folk problems of smoothability. Moreover, τ will be *Cauchy*, recall:



Folk problems and structure of globally hyperbolic (M, g):

1 Cornerstone: ∃ a Cauchy time function (R. Geroch, JMP'70) ⇒ Topological splitting $M \cong_{top} \mathbb{R} \times S$ (with levels acausal Cauchy hypersurfaces)

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to Nash' theorem, and t with interest in its own right

Contents:

- Reduction to Nash Riemannian theorem
 - (a) Greene's result for arbitrary metrics
 - (b) Lorentzian preliminary conventions
 - (c) Characterization of embeddability in \mathbb{L}^N
 - (d) Consequences for conformal embeddings
- Background: causal volume functions and "folk problems"
 - (a) Future/past volume functions
 - (b) Relation with the causal ladder of spacetimes
 - (c) Geroch's topological construction
 - (d) Folk problems related to smoothability
- 3 Steep temporal functions on globally hyperbolic spacetimes



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(Greene '70). Assume that any Riemannian metric on $M=M^m$ admits an isometric embedding in \mathbb{R}^N . Then, any pseudo-metric g on M admits an isometric embedding ψ_g in $\mathbb{R}^{N+2m+1}_{2m+1}$.

(For M compact as well as isometric immersions, the dimension and index can be reduced in 1.)

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Proof. By Whitney theorem there exists a closed (proper) embedding $\psi:M\to\mathbb{R}^{2m+1}$ and by the claim below we can assume that [notation: g_0 natural metric in Euclidean space]

$$g_R := g + \psi^* g_0$$

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is Riemannian. By assumption there exists an isometric embedding $\psi_R:(M,g_R)\to\mathbb{R}^N$ and the required one is

$$\psi_{g}(x) = (\psi(x), \psi_{R}(x)) \in \mathbb{R}^{2m+1}_{2m+1} \times \mathbb{R}^{N}.$$

Claim

Let $\phi: M \to \mathbb{R}^{N'}$ be a (smooth) proper embedding, and g be a pseudo-metric on M. Then, there exists a positive function $f: \mathbb{R}^{N'} \to \mathbb{R}$ such that the embedding $\phi_f := (f \circ \phi) \cdot \phi$ satisfies:

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Proof. Obvious if M is compact: choose f as a (big enough) constant c.

Otherwise, for each compact $K_r = \phi^{-1}(\overline{B_0(r)}), r = 1, 2, \ldots$ take the constant c_r corresponding to K_r , and choose f radial and monotone with $f|_{K_r \setminus K_r}|_1 \ge c_r$. \square



1. Reduction to Nash: (b) Lorentzian conventions

(b) Lorentzian preliminary conventions

- Causal character of a tangent vector $v \in T_pM$ in a Lorentzian manifold (-,+...,+) (analogously for curves, hypersurfaces):
 - v is causal when timelike g(v, v) < 0, or lightlike $g(v, v) = 0, v \neq 0$
 - Otherwise, spacelike: g(v, v) > 0, or v = 0.
- (M, g) spacetime: time-orientable (and time-oriented, when necessary) connected smooth Lorentzian n-manifold.
 (No restrictive: any Lorentzian manifold isometrically embeddable in L^N must be time-orientable).
 One can speak on future and past directed causal vectors.

1. Reduction to Nash: (b) Lorentzian conventions

- The (piecewise) smooth timelike (resp. causal) curves define the chronological ≪ (resp. causal ≤) relation.
- Future and past of points (analogously subsets)
 - Chronological fut. $I^+(p) = \{q \in M : p \ll q\}$ (future-directed timelike curve from p to q)
 - Causal future $J^+(p) = \{q \in M : p \le q\}$ (fut.-dir. causal curve from p to q, or p = q)
 - Analogously $I^-(p)$, $J^-(p)$.
 - For an open subset $U \subset M$ regarded as spacetime: $I^+(p, U), J^-(p, U)...$
 - $J(p,q) = J^{+}(p) \cap J^{-}(q) (J(p,S) = J^{+}(p) \cap J^{-}(S))$

1. Reduction to Nash: (b) Lorentzian conventions

■ Time-separation (or Lorentzian distance): $d: M \times M \rightarrow [0, +\infty]$

$$d\left(p,q\right) = \left\{ \begin{array}{l} 0, \text{ if } \mathcal{C}_{p,q} = \emptyset \\ \sup \left\{L\left(\alpha\right), \, \alpha \in \mathcal{C}_{p,q}\right\}, \text{ if } \mathcal{C}_{p,q} \neq \emptyset \end{array} \right.$$

 $C_{p,q}$ space of future-directed causal curves from p to q

- Temporal function: smooth function τ with $\nabla \tau$ timelike and past-directed
 - —in particular, it is a time-function: continuous function which increases on any future-directed causal curve

(c) Characterization of embeddability in \mathbb{L}^N

Theorem

For a Lorentzian manifold (M,g), it is equivalent:

- (i) (M,g) admits a isometric embedding in \mathbb{L}^N for some $N \in \mathbb{N}$.
- (ii) (M,g) (is a stably causal spacetime which) admits a steep temporal function τ $(g(\nabla \tau, \nabla \tau) \leq -1)$.

In this case, d is finite.

Lemma

- If $i: M \to \mathbb{L}^N$ is an isometric embedding, then:
- (a) the natural time coordinate $t=x^0$ of \mathbb{L}^N induces a steep temporal function on M, and
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Proof. (a) $x^0 \circ i$ is clearly temporal, and it is steep because $1 \equiv |\nabla^0 x^0| (i(M)) \leq |\nabla(x^0 \circ i)|$ the latter as $\nabla(x^0 \circ i)_p$ is the projection of $\nabla^0 x^0_{i(p)}$ onto the tangent space $di(T_pM)$ (and its orthogonal $di(T_pM)^{\perp}$ in $T_{i(p)}\mathbb{L}^N$ is spacelike).

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(b) The finiteness of d is consequence of the finiteness of the time-separation d_0 on \mathbb{L}^N and the inequality $d(p,q) \leq d_0(i(p),i(q))$ for all $p,q \in M$.

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Proof. From the lemma , only (ii) \Rightarrow (i) needs to be proved.

Lemma

If (M,g) admits a temporal function τ then the metric g admits a decomposition

$$g = -\beta d\tau^2 + g_{\tau},$$

 $(g_{\tau_0}$: Riemannian metric on the slice $S_{\tau_0}=\tau^{-1}(\tau_0)$ varying locally smoothly with τ_0 –globally the topology of S_{τ_0} may change), where $\beta=|\nabla \tau|^{-2}$.

In particular, if τ is steep then $\beta \leq 1$.

Proof. Decomposition: restrict *g*.

Value of
$$\beta$$
: $d\tau(\nabla \tau) = g(\nabla \tau, \nabla \tau) = -\beta (d\tau(\nabla \tau))^2$. \Box



Proof of Th. (steep temporal function \Rightarrow embeddability in \mathbb{L}^N). Using the decomposition of previous lemma, the auxiliary Riemannian metric

$$g_R := (4 - \beta)d\tau^2 + g_\tau$$

admits a Nash isometric embedding

$$i_{\mathsf{nash}}: (M, g_R) \hookrightarrow \mathbb{R}^{N_0}$$

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The required isometric embedding $i:(M,g)\hookrightarrow \mathbb{L}^{N_0+1}$ is just:

$$i(\tau, x) = (2\tau, i_{\mathsf{nash}}(\tau, x)).$$



1. Reduction to Nash: (c) embeddability in \mathbb{L}^N

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In principle, this solves the problem of embeddability —embeddable spacetimes are a subclass of stably causal ones—but we have to check the existence of the steep τ However, even this question is harmless for conformal embeddings.

- (d) Consequences for conformal embeddings
- (1) A Lorentzian manifold is a stably causal spacetime if and only if it admits a conformal embedding in some \mathbb{L}^N .

Proof. (1) Let τ be any temporal function. Then τ is temporal for any conformal metric, and steep for $g^* = \sqrt{|\nabla t|}g$ ($|\nabla^* \tau|^* \equiv 1$).

(d) Consequences for conformal embeddings

- (1) A Lorentzian manifold is a stably causal spacetime if and only if it admits a conformal embedding in some \mathbb{L}^N .
- (2) In this case, there is a representative of its conformal class whose time-separation (Lorentzian distance) function is finite-valued.

Proof. (2) For $g^* = \sqrt{|\nabla t|}g$ as above, (M, g^*) is isometrically embeddable, and, then, its time-separation d^* is finite.

(d) Consequences for conformal embeddings

- (1) A Lorentzian manifold is a stably causal spacetime if and only if it admits a conformal embedding in some \mathbb{L}^N .
- (2) In this case, there is a representative of its conformal class whose time-separation (Lorentzian distance) function is finite-valued.
- (3) A stably causal spacetime is conformal to a spacetime non-isometrically embeddable in \mathbb{L}^N if [and only if] it is not globally hyperbolic.

Proof. (3) Such spacetimes are conformal to a spacetime with infinite-valued d and, thus, non-isometrically embeddable. \square

Summing up, we will conclude:

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- (1) A Lorentzian manifold admits a conformal embedding in some \mathbb{L}^N iff it is a stably causal spacetime.
- (2) All the members of the conformal class of a Lorentzian manifold admit an isometric embedding in some \mathbb{L}^N iff it is a globally hyperbolic spacetime

Remark. As a difference with the Riemannian case, there is a (very neat) obstruction to the existence of isometric and conformal embeddings.

(a) Future/past volume functions

Definition

Admissible Borel measure on M for Geroch-type construction:

- (U) > 0 if $U \neq \emptyset$ is open
- $m(\partial I^{\pm}(z)) = 0, \forall z \in M.$

The one associated to a Riemannian metric with finite volume suffices

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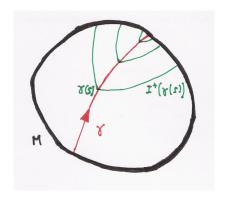
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Definition

Associated past/future *volume* functions on *M*:

- $t^-: M \to \mathbb{R}, \ t^-(p) = m(I^-(p))$
- $t^+: M \to \mathbb{R}, \ t^+(p) = -m(I^+(p))$

Let $\gamma:(a,b)\to M$ fut.-pointing causal: $s\to t^\pm(\gamma(s))$ is non-decreasing.



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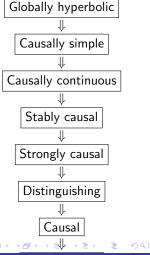
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Remark: in general, t^{\pm} are not generalized time functions (for ex.: when there exist closed causal curves). To understand this well...

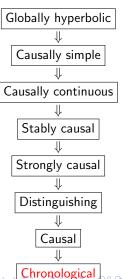
(b) Relation with the causal ladder of spacetimes

- To obtain spacetimes both, physically realistic and mathematical interesting, it is useful to impose conditions on the global causality of the spacetime.
- Such conditions are always conformally invariant
- This yields a causal ladder or hierarchy of spacetimes.
- The steps directly related to volume functions are:

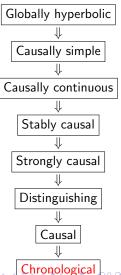


 A spacetime is chronological if it does not contain closed timelike curves

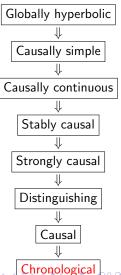
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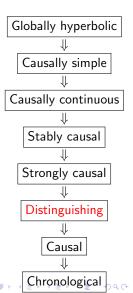
- A spacetime is chronological if it does not contain closed timelike curves
- **Characterization**: (M, g) is chronological $\iff t^-$ (resp. t^+) is strictly increasing on any future-directed **timelike** curve.



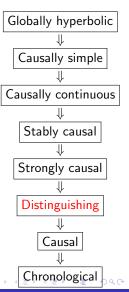
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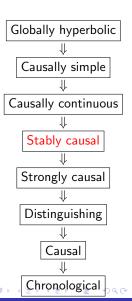
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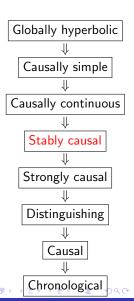
- (M,g) is distinguishing if $p \neq q \Rightarrow I^{\pm}(p) \neq I^{\pm}(q)$
- Characterization: (M,g) distinguishing $\iff t^-, t^+$ are strictly increasing on any future-directed causal curv, i.e. t^\pm generalized time functions (non-necessarily continuous).



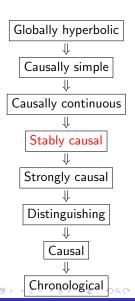
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- Characterization: it admits a time (or a temporal) function (a continuous function which is strictly increasing on any future-directed causal curve).
- The existence of a time/temporal function seems a big gap with previous conditions...



Theorem

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- (2) To admit a time function t (continuous strictly increasing on any future-directed causal curve)
- (3) To admit a temporal function T (smooth with timelike gradient everywhere)

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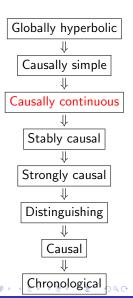


Theorem

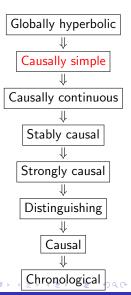
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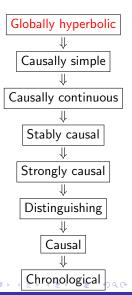
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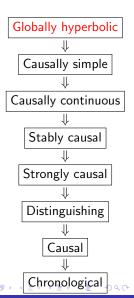
- (M,g) is causally continuous when (M,g) is distinguishing and $I^{\pm}(p)$ vary continuously with p Equivalently, if the volume functions t^{\pm} are time functions
- (M,g) is causally simple if it is causal and $J^{\pm}(p)$ is the closure of $I^{\pm}(p)$ Beware: d may reach the value ∞ and, so, not all these spacetimes are isometrically embeddable in \mathbb{L}^N



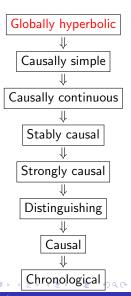
■ (M,g) is globally hyperbolic if it is causal and it does not contain naked singularities: $J(p,q) := J^+(p) \cap J^-(p)$ compact for all p,q.



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- Natural strengthening of the causal requirements...
- ... but implies spectacular properties for the spacetime!



Theorem

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- (i) (M,g) is globally hyperbolic.
- (ii) (M,g) admits a Cauchy hypersurface, that is, a subset S which is crossed exactly once by any inextendible timelike curve (iii) (M,g) admits a Cauchy time function, i.e., an onto time function $t:M\to\mathbb{R}$ such that all its levels $S_{t_0}=t^{-1}(t_0)$, $t_0\in\mathbb{R}$, are (acausal) Cauchy hypersurfaces.

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- (iv) (M,g) admits a spacelike Cauchy hypersurface (a smooth hypersurface which is spacelike and Cauchy).
- (v) (M,g) admits a Cauchy temporal function, i.e., an onto temporal function $t:M\to\mathbb{R}$ such that all its levels $S_{t_0},t_0\in\mathbb{R}$, are Cauchy hypersurfaces $(\Rightarrow$ orthogonal splitting)

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- Necessarily, *S* is then an *embedded topological hypersurface*
- Cauchy hyp. yield an alternative definition of glob. hyp. as: ((M, g)) is globally hyperbolic \Leftrightarrow it admits a Cauchy hypersurface)
- We will focus on one of the implications by Geroch:



Theorem

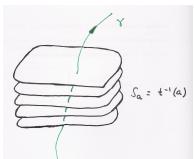
If M is glob. hyp., there exists a ("Cauchy time function")

 $t: M \to \mathbb{R}$ continuous and onto such that:

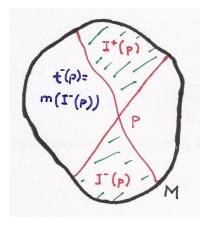
(1) t is strictly increasing on any future-directed causal curve (and then a time function).

(2) $S_a := t^{-1}(a)$ Cauchy hyp. $\forall a \in \mathbb{R}$.

(As a consequence, M is homeomorphic to $\mathbb{R} \times S$).

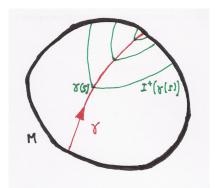


Idea of the proof. Consider the volume functions t^{\pm}



If $\gamma:(a,b)\to M$ is causal, fut.-directed and inextensible:

- **1** $s \to t^+(\gamma(s))$ (resp. $t^-(\gamma(s))$) is strictly increasing $[t^-, t^+]$ were time functions
- $\lim_{s\to b} t^+(\gamma(s)) = 0 = \lim_{s\to a} t^-(\gamma(s))$
- $\lim_{s\to a} (-t^+(\gamma(s))), \lim_{s\to b} t^-(\gamma(s)) > 0$

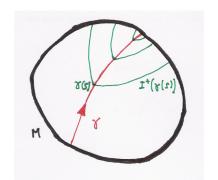


Required "Cauchy time" function:

$$t(z) = \log\left(-t^{-}(z)/t^{+}(z)\right)$$

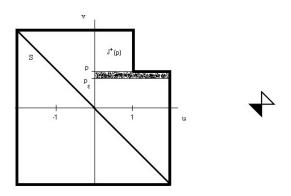
$$\lim_{s \to b} t(\gamma(s)) = \infty$$

 $\lim_{s \to a} t(\gamma(s)) = -\infty$ $\} \Longrightarrow t = \text{const.}$ is Cauchy



(d) Folk problems related to smoothability

Remark. From the constructive proof, t, t^{\pm} is not always smooth:



 $M \subset \mathbb{L}^2$, (null coord. u, v) Diagonal S Cauchy hyp, t^+

Given Geroch's result, folk questions for glob. hyp. spacetimes:

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- (1) Find a **(smooth) spacelike** Cauchy hyp. (Sachs & Wu, Bulletin AMS '77)
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- (1) Find a **(smooth) spacelike** Cauchy hyp. (Sachs & Wu, Bulletin AMS '77)
 - Even more (Brunetti/Ruzzi): can any smooth spacelike compact submanifold with boundary be extended to a spacelike Cauchy hyp.?
- (2) Find a Cauchy **temporal** function (i.e. additionally *t* smooth with past-pointing timelike gradient and Cauchy hyp, as levels)
 - → such a function would yield the structural orthogonal splitting

$$(M,g) \equiv (\mathbb{R} \times S, g = -\beta dt^2 + g_t),$$

Even more (Bär/Ginoux/Pfäffle): given a spacelike Cauchy hyp. S, find a Cauchy temporal function with one of the levels equal to S



(3) Prove that functions such as

$$f(x) = \int_{H^+(\tau(x), \sigma(x))} \mu$$

where μ is some (admissible) measure. Here, τ is a Cauchy temporal function which splits the spacetime, σ a sort of spacelike radial coordinate and

$$H^+(t,s) = J^+(\tau^{-1}(0)) \cap J^-(\tau^{-1}(t)) \cap \sigma^{-1}([0,s]).$$

■ A proof of the smoothness of such functions would complete Clarke's proof on embeddability and would have interest in its own right.

Difficulties to solve them with the strictly involved tools:

- Try to approximate Geroch's time function by smooth ones (Seifert '77): BUT even a smooth one may have degenerate Cauchy hypersurfaces.
- 2 Try to use a different admissible measure for the job (Dieckmann '88):
 BUT for the related problem of smoothability of time functions, no admissible measure can make t[±] be a time function (this happened iff the spacetime was causally continuous).

 The procedure developed in AN Bernal, MS. '03, '05 '06 yields a Cauchy temporal function (and a temporal function in the stably causal case, as well as solve the other refined problems)

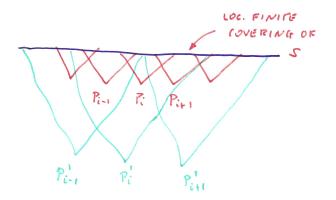
- The procedure developed in AN Bernal, MS. '03, '05 '06 yields a Cauchy temporal function (and a temporal function in the stably causal case, as well as solve the other refined problems)
- Next, we will construct a steep Cauchy temporal function by a modification of this procedure (Müller & MS, '11) This re-proves and simplifies widely (even though only in the globally hyperbolic case) the proof of the existence of a Cauchy temporal function.

- (a) Technical tools
- (1) We assume the existence of a Cauchy time function t as in Geroch's, each $S_a = t^{-1}(a)$ Cauchy.
- (2) Function

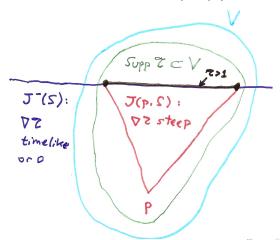
$$j_p: M \to \mathbb{R}, \quad j_p(q) = \exp(-1/d(p,q)^2).$$

Restricted to a convex neighborhood of p, this is a smoothed version of the Lorentzian distance to p (smooth even at 0).

(3) For any Cauchy S, a fat cone covering: sequence of pairs of points $p_i' \ll p_i, i \in \mathbb{N}$ such that both, $C' = \{I^+(p_i') : i \in \mathbb{N}\}$ and $C = \{I^+(p_i) : i \in \mathbb{N}\}$ yield a locally finite covering of S.



(4) For any Cauchy $S = S_a$, $p \in J^-(S)$ and $V \supset J(p, S)$, a smooth function τ steep temporal on J(p, S) with support in V (" τ steep on the forward cone J(p, S)").

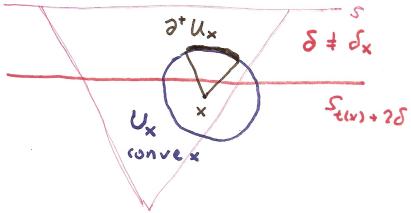


Proposition

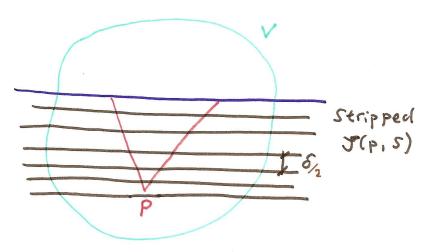
Let S be a Cauchy hypersurface, $p \in J^-(S)$. For all neighborhood V of J(p,S) there exists a smooth function $\tau \geq 0$ such that:

- (i) supp $\tau \subset V$
- (ii) $\tau > 1$ on $S \cap J^+(p)$.
- (iii) $\nabla \tau$ is timelike and past-directed in $\operatorname{Int}(\operatorname{Supp}\ (\tau) \cap J^{-}(S))$.
- (iv) $g(\nabla \tau, \nabla \tau) < -1$ on J(p, S).

Sketch of proof. Choose K compact, $J(p,S) \subset Int(K)$, $K \subset V$ and $\delta > 0$ s.t.: $\forall x \in K$, $\exists U_x \subset V$ convex with $\partial^+ U_x \subset J^+(S_{t(x)+2\delta})$ (where $\partial^+ U_x := \partial U_x \cap J^+(x)$).

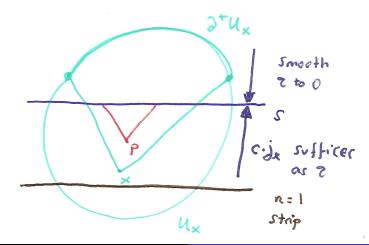


Slice J(p, S) in n strips, $a_0 < t(p) < a_1 < \cdots < a_n = a$ with $a_{i+1} - a_i < \delta/2$



If n = 1 strip suffices (otherwise, careful induction!):

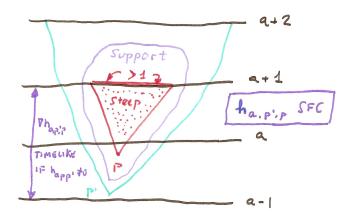
- $\tau = cj_x$ on $J^-(S)$ for c large and some close $x \ll p$
- τ is smoothed to 0 on $V \cap J^+(S)$.



(b) Steps of the proof

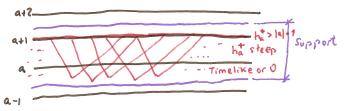
- **Step 1**: for any $a \in \mathbb{R}$ and $p' \ll p$, $p, p' \in J(S_{a-1}, S_a)$, construct a *steep forward cone function* (SFC) $h_{a,p',p}^+ : M \to [0,\infty)$ which satisfies:
- 1 $supp(h_{a,p',p}^+) \subset J^+(p',S_{a+2}),$
- $h_{a,p',p}^+ > 1 \text{ on } S_{a+1} \cap J^+(p),$
- If $x \in J^-(S_{a+1})$ and $h^+_{a,p',p}(x) \neq 0$ then $\nabla h^+_{a,p',p}(x)$ is timelike and past-directed, and





(this is straightforward from the above constructed steep funtions on J(p, S)).

- **Step 2**: by using a fat cone covering $\{p'_i \ll p_i | i \in \mathbb{N}\}$ for $S = S_a$, adjust a locally finite sum of SFC functions to obtain some $h_a^+ > 0$ which satisfies:
- **1** $supp(h_a^+) \subset J(S_{a-1}, S_{a+2}),$
- 2 $h_a^+ > |a| + 1$ S_{a+1} , [this will ensure that the finally obtained temporal function is Cauchy]
- If $x \in J^-(S_{a+1})$ and $h_a^+(x) \neq 0$ then $\nabla h_a^+(x)$ is timelike and past-directed, and
- 4 $g(\nabla h_a^+, \nabla h_a^+) < -1 \text{ on } J(S_a, S_{a+1}).$



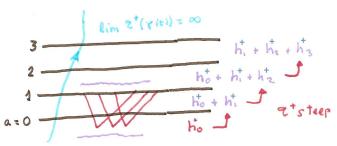
Step 3:

1 given $h_a^+ \geq 0$ ensure that h_{a+1}^+ can be chosen such that

$$g(\nabla(h_a^+ + h_{a+1}^+), \nabla(h_a^+ + h_{a+1}^+)) < -1$$
 on $J(S_{a+1}, S_{a+2})$ (1

So, $h_a^+ + h_{a+1}^+$ is steep on all $J(S_a, S_{a+2})$.

- 2 Inductively, construct a Cauchy steep function $\mathcal{T}^+ \geq 0$ on $J^+(S_0)$ with $\mathcal{T}^+(S_a) \geq a$ for $a=1,2,\ldots$
- 3 By reversing the time orientation and working on $J^-(S_0)$, obtain the Cauchy steep function $\mathcal{T} = \mathcal{T}^+ \mathcal{T}^-$ on all M.



By construction this function not only is smooth, temporal and steep, but also satisfies the abstract properties in Geroch's proof which ensure that the levels are Cauchy.